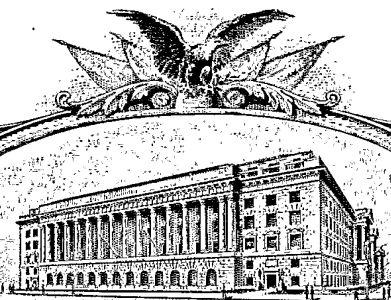


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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Himanshu Brahmbhatt et al.

Title: METHODS FOR TARGETED *IN VITRO* AND *IN VIVO* DRUG
DELIVERY TO MAMMALIAN CELLS VIA BACTERIALLY
DERIVED INTACT MINICELLS

Appl. No.: Unassigned

Filing Date: February 2, 2004

PROVISIONAL PATENT APPLICATION
TRANSMITTALMail Stop PROVISIONAL PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(c) is the provisional patent
application of:Himanshu Brahmbhatt
Sydney, AustraliaJennifer MacDiarmid
Sydney, Australia☒ [X] Applicant claims small entity status under 37 CFR 1.27(c)(1).

Enclosed are:

- ☒ [X] Specification, Claim(s), and Abstract (57 pages).
- ☒ [X] Informal drawings (6 sheets, Figures 1-5).
- ☐ [] Assignment of the invention to EnGeneIC Gene Therapy Pty Limited.
- ☐ [] Assignment Recordation Cover Sheet.
- ☒ [X] Small Entity statement(s).
- ☒ [X] Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Rate	Fee Totals
Basic Fee	\$160.00	\$160.00
[X] Small Entity Fees Apply (subtract ½ of above):	=	\$80.00
TOTAL FILING FEE:	=	\$80.00

- [X] A check in the amount of \$80.00 to cover the filing fee is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [X] Further, Applicant, by and through his attorney of record, hereby expressly abandons the application as of the filing date of this letter. This is an abandonment of the application only, and is not to be construed as an abandonment of the invention disclosed in the application. It is respectfully requested that the Office acknowledge abandonment of the application as of the filing date of this letter in a communication mailed to the undersigned.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date

2/2/04

By



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Appl. No.: Unassigned

Filing Date: February 2, 2004

ASSERTION OF SMALL ENTITY STATUS

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.27(c)(1), Applicants hereby assert entitlement to small entity
status in the above-identified application.

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U.S. PATENT APPLICATION

for

**METHODS FOR TARGETED *IN VITRO* AND *IN VIVO* DRUG
DELIVERY TO MAMMALIAN CELLS VIA BACTERIALLY
DERIVED INTACT MINICELLS**

Inventors: Himanshu Brahmbhatt
Jennifer MacDiarmid

**METHODS FOR TARGETED *IN VITRO* AND *IN VIVO* DRUG
DELIVERY TO MAMMALIAN CELLS VIA BACTERIALLY
DERIVED INTACT MINICELLS**

BACKGROUND OF THE INVENTION

5 The present invention relates to ongoing efforts to achieve controlled drug
release and drug targeting to specific tissues, particularly in the area of cancer
chemotherapy. More particularly, the invention relates to targeted drug delivery by
means of intact bacterial minicells, which are able to deliver drugs intracellularly,
within desired target cells *in vivo* and *in vitro*. Minicells containing chemical or
10 biochemical drugs constitute novel delivery vehicles, capable of being targeted to
specific cells. One method of targeting these vehicles employs bispecific molecules
that specifically bind to both a minicell surface structure and a target cell surface
structure, such as a receptor. The bispecific ligands mediate an interaction between
the minicells and target cells, such that the target cells engulf the minicells, which
15 release their drug payload into the cytoplasm of the target cells. Once cytoplasmically
released, the drug acts on intracellular targets, such as intracellular organelles, the
nucleus, the cytoskeleton, enzymes, and co-factors, to achieve a therapeutic effect. In
another method of drug delivery, phagocytosis- or endocytosis-competent target cells
engulf drug-loaded minicells without the use of bispecific ligands.

20 Currently, most drugs used for treating cancer are administered systemically.
Although systemic delivery of cytotoxic anticancer drugs plays a crucial role in
cancer therapeutics, it also engenders serious problems. For instance, systemic
exposure of normal tissues/organs to the administered drug can cause severe toxicity
(Sarosy and Reed, 1993). This is exacerbated by the fact that systemically delivered
25 cancer chemotherapy drugs often must be delivered at very high dosages to overcome
poor bioavailability of the drugs and the large volume of distribution within a patient.
Also, systemic drug administration can be invasive, as it often requires the use of a
secured catheter in a major blood vessel. Because systemic drug administration often

requires the use of veins, either peripheral or central, it can cause local complications such as phlebitis. Extravasation of a drug also can lead to vesicant/tissue damage at the local site of administration, such as is commonly seen upon administration of vinca alkaloids and anthracyclines.

5 Because existing systems for targeted drug delivery are seriously deficient, current cancer drug treatment strategies poorly address the problems that attend systemic drug administration. One approach for addressing these problems involves simply modifying administration schedules or infusion regimens, which may be either bolus, intermittent, or continuous. This approach, however, provides very limited
10 benefits.

 Some alternative approaches to intravenous injection also exist, each designed to provide regional delivery, *i.e.*, selective delivery to a tumor region. Examples of such alternatives include polymeric implants, intra-peritoneal infusion, intra-pleural infusion, intra-arterial delivery, chemo-embolization, and inhalation of aerosols. In
15 particular, intra-peritoneal administration of chemotherapy has been studied extensively for ovarian carcinoma and other abdominal tumors (Kirmani *et al.*, 1994; Alberts *et al.*, 1996). Unfortunately, each of these delivery methods, including intra-peritoneal administration, has achieved only marginal success at selectively delivering drugs to a tumor site and reducing side effects.

20 Other attempts to address the problems with systemic delivery of cytotoxic anticancer drugs include the use of alternative drug formulations and delivery systems, including controlled-release biodegradable polymers, polymeric microsphere carriers and liposomes, as well as the co-administration of cytoprotective agents with antineoplastics. Chonn and Cullis, 1995; Kemp *et al.*, 1996; Kumanohoso *et al.*,
25 1997; Schiller *et al.*, 1996; Sharma *et al.*, 1996; Sipos *et al.*, 1997.

 The use of liposomes as drug carriers for chemotherapeutic agents originally was proposed as a means for manipulating drug distribution to improve anti-tumor efficacy and to reduce toxicity (reviewed by Allen, 1997). Through encapsulation of drugs in a macromolecular carrier, such as a liposome, the volume of distribution is

significantly reduced and the concentration of drug in a tumor is increased. This causes a decrease in the amounts and types of nonspecific toxicities, and an increase in the amount of drug that can be effectively delivered to a tumor (Papahadjopoulos and Gabizon, 1995; Gabizon and Martin, 1997; Martin, 1998). Liposomes protect
5 drugs from metabolism and inactivation in plasma. Further, due to size limitations in the transport of large molecules or carriers across healthy endothelia, drugs accumulate to a reduced extent in healthy tissues (Mayer *et al.*, 1989; Working *et al.*, 1994).

To prolong their circulation time, liposomes are coated with polyethylene
10 glycol (PEG), a synthetic hydrophilic polymer (Woodle and Lasic, 1992). The PEG headgroup serves as a barrier, sterically inhibiting hydrophobic and electrostatic interactions with a variety of blood components and plasma opsonins at the liposome surface, and thereby retards recognition of liposomes by the reticuloendothelial system. PEG-coated liposomes are termed "sterically stabilized" (SSL) or STEALTH
15 liposomes (Lasic and Martin, 1995). This technology gave rise to a commercial pharmaceutical formulation of pegylated liposomal Doxorubicin, known as Doxil in the U.S. and Caelyx in Europe. A wide array of other drugs also have been encapsulated in liposomes for cancer treatment (Heath *et al.*, 1983; Papahadjopoulos
20 *et al.*, 1991; Allen *et al.*, 1992; Vaage *et al.*, 1993b; Burke and Gao, 1994; Sharma *et al.*, 1995; Jones *et al.*, 1997; Working, 1998).

Liposomal drug carriers, unfortunately, have several drawbacks. For example, *in vivo*, drugs often leak out of liposomes at a sufficient rate to become bioavailable, causing toxicity to normal tissues. Similarly, liposomes are unstable *in vivo*, where their breakdown releases drug and causes toxicity to normal tissues. Also, liposomal
25 formulations of highly hydrophilic drugs can have prohibitively low bioavailability at a tumor site, because hydrophilic drugs have extremely low membrane permeability. This limits drug release once liposomal carriers reach a tumor. Highly hydrophobic drugs also tend to associate mainly with the bilayer compartment of liposomes, causing low entrapment stability due to rapid redistribution of a drug to plasma
30 components. Additionally, some drugs, such as 1- β -D-arabinofuranosylcytosine (ara-

C) and methotrexate, only enter tumor cells directly, via membrane transporters (Plageman *et al.*, 1978; Wiley *et al.*, 1982; Westerhof *et al.*, 1991, 1995; Antony, 1992). In such cases, a liposomal carrier would need to release sufficient drug near a tumor site to achieve a therapeutic effect (Heath *et al.*, 1983; Matthay *et al.*, 1989; Allen *et al.*, 1992). Lastly, the use of conventional liposome formulations increases a patient's risk of acquiring opportunistic infections (White, 1997), owing to localization of drugs in reticuloendothelial system macrophages and an attendant macrophage toxicity (Allen *et al.*, 1984; Daemen *et al.*, 1995, 1997). This problem becomes accentuated in immune deficient patients, such as AIDS patients being treated for Kaposi's sarcoma.

Because problems continue to hamper significantly the success of cancer therapeutics, an urgent need exists for targeted drug delivery strategies that will either selectively deliver drugs to tumor cells and target organs, or protect normal tissues from administered antineoplastic agents. Such strategies should improve the efficacy of drug treatment by increasing the therapeutic indexes of anticancer agents, while minimizing the risks of drug-related toxicity.

An international patent application, PCT/IB02/04632, has described recombinant, intact minicells that contain therapeutic nucleic acid molecules. Such minicells are effective vectors for delivering oligonucleotides and polynucleotides to host cells *in vitro* and *in vivo*. Data presented in PCT/IB02/04632 demonstrated, for example, that recombinant minicells carrying mammalian gene expression plasmids can be delivered to phagocytic cells and to non-phagocytic cells. The application also described the genetic transformation of minicell-producing parent bacterial strains with heterologous nucleic acids carried on episomally-replicating plasmid DNAs. Upon separation of parent bacteria and minicells, some of the episomal DNA segregated into the minicells. The resulting recombinant minicells were readily engulfed by mammalian phagocytic cells and became degraded within intracellular phagolysosomes. Surprisingly, some of the recombinant DNA escaped the phagolysosomal membrane and was transported to the mammalian cell nucleus,

where the recombinant genes were expressed. Thus, the application showed a usefulness for minicells in human and animal gene therapy.

The present invention builds on these recent discoveries relating to minicells, and addresses the continuing needs for improved drug delivery strategies, especially
5 in the context of cancer chemotherapy.

SUMMARY OF THE INVENTION

To address these and other needs, the present invention provides, in one aspect, a composition consisting essentially of intact minicells that contain a drug, such as a cancer chemotherapy drug. In a related aspect, the invention provides a
10 composition comprising (i) bacterially derived intact minicells and (ii) a pharmaceutically acceptable carrier therefor, where the minicells contain a drug.

According to another aspect, the invention provides a targeted drug delivery method that comprises bringing bispecific ligands into contact with (i) bacterially derived minicells that contain a desired drug and (ii) mammalian cells, preferably
15 non-phagocytic mammalian cells. The bispecific ligands have specificity for both a surface component on the minicells and a surface component on the mammalian cells. As a result, the ligands cause the minicells to bind to the mammalian cells, the minicells are engulfed by the mammalian cells, and the drug is released into the cytoplasm of the mammalian cells.

The invention also provides bispecific ligands useful for targeting minicell
20 vehicles to mammalian host cells. The bispecific ligand may be polypeptide, carbohydrate or glycopeptide, and may comprise an antibody or antibody fragment. In preferred embodiments, the bispecific ligand has a first arm that carries specificity for a bacterial minicell surface structure and a second arm that carries specificity for a
25 mammalian cell surface structure. A desirable minicell surface structure for ligand binding is an O-polysaccharide component of a lipopolysaccharide. Desirable mammalian cell surface structures for ligand binding are receptors, preferably those capable of activating receptor-mediated endocytosis.

In another aspect, the invention provides a composition comprising (i) a bacterially derived minicell that contains a drug molecule and (ii) a bispecific ligand that is capable of binding to a surface component of the minicell and to a surface component of a mammalian cell.

5 The invention provides another drug delivery method that entails bringing bacterially derived minicells that contain a drug into contact with target mammalian cells that are phagocytosis- or endocytosis-competent. The mammalian cells engulf the drug-loaded minicells, which then release their drug payload intracellularly.

 The invention further provides methodology for loading minicells with a drug.
10 One such method involves creating a concentration gradient of the drug between an extracellular medium containing the minicells and the minicell cytoplasm. The drug naturally moves down this concentration gradient, into the minicell cytoplasm.

 Another method of loading minicells with a drug involves culturing a recombinant parent bacterial cell under conditions wherein the parent bacterial cell
15 transcribes and translates a therapeutic nucleic acid encoding the drug, such that the drug is released into the cytoplasm of the parent bacterial cell. When the parent bacterial cell divides and forms progeny minicells, the minicells also contain the drug in their cytoplasm.

 Yet another method of loading minicells with a drug involves culturing a
20 recombinant minicell that contains a therapeutic nucleic acid encoding the drug under conditions such that the therapeutic nucleic acid is transcribed and translated within the minicell.

 The invention also provides for the use of bacterially derived intact minicells and bispecific ligands in preparing a medicament for use in a method of treating
25 disease or modifying a trait by administration of the medicament to a cell, tissue or organ. In the medicament, minicells contain a drug molecule and bispecific ligands that are capable of binding to the minicells and to target mammalian cells. Such medicaments are useful to treat various conditions and diseases, including acquired

diseases such as AIDS, pneumonia and tuberculosis, but are particularly useful in the context of cancer chemotherapy.

The invention affords significant improvements over conventional drug therapy techniques by (i) reducing drug-related toxicity, because the drug is specifically delivered intracellularly within target cells, (ii) alleviating drug-associated side effects at the site of administration in a human or animal, because the drug is packaged within minicells and not free to interact with non-targeted cells and tissues at the site of administration, (iii) eliminating the need for continuous infusion of drug, because a therapeutic dose of targeted and drug-packaged minicells can be administered by routine injection, (iv) reducing the effective dose of a drug, because specific targeting is achieved, and (v) sometimes eliminating the need to purify the drug, because the drug can be synthesized biologically by either the minicell drug delivery vehicle or the parent bacteria. The use of minicells for both drug biosynthesis and targeted delivery to desired mammalian cells constitutes a particular advantage, because many drugs conventionally are extracted from rare plant or marine sources, or are very difficult to synthesize chemically. Additionally, some chemotherapeutic drugs, including methotrexate, gain entry into mammalian cells via a membrane-associated active transport mechanism.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows cancer chemotherapeutic drugs Doxorubicin and Vinblastine packaged into the cytoplasm of bacterially derived intact minicells. Drug-packaged minicells were mounted on glass slides and visualized by fluorescence microscopy. (A) Doxorubicin-packaged minicells fluorescing red (appears as light grey dots in the black/white image). The image shows a very high density of minicells in the field of view. (B) BODIPY-FL-conjugated Vinblastine-packaged minicells fluorescing red. This is a diluted sample showing isolated drug-packaged minicells (light grey dots). Control minicells that were not incubated with either drug did not show any background autofluorescence (data not shown). The minicells are only 400 nm in

size; hence the dots are the maximum size that can be visualized by conventional fluorescence microscopes.

Figure 2 shows a fluorescent derivative of the cancer chemotherapeutic drug Paclitaxel (Oregon green 488 conjugated Paclitaxel; green fluorescence) packaged
 5 into the cytoplasm of bacterially derived intact minicells. Drug-packaged minicells were mounted on glass slides and visualized by fluorescence microscopy. **(A)** Oregon green 488 conjugated Paclitaxel-packaged minicells fluorescing green (appears as light grey dots in the black/white image). These minicells were incubated in a solution containing 20 uM Oregon green 488 conjugated Paclitaxel dissolved in
 10 solvent ethanol:Cremophore EL. **(B)** Oregon green 488 conjugated Paclitaxel-packaged minicells fluorescing green (light grey dots). These minicells were incubated in a solution containing 40 uM Oregon green 488 conjugated Paclitaxel dissolved in ethanol.

Figure 3 shows fluorescence confocal microscopy images of U-87-MG human
 15 astrocytoma cells incubated with targeted and non-targeted Doxorubicin-packaged minicells. An Alexa Fluor 488-conjugated bispecific antibody (green fluorescence) having anti-minicells LPS and anti-human EGF receptor specificities was used for targeting. Fluorescent dots in the various images appear as grey dots in the black/white figures. Images C to E are overlaps of fluorescent and DIC images to
 20 show the localization of the fluorescent dots in relation to individual cells. Scale bars are shown in the bottom right hand corner of each image. **(A)** After 8 hr incubation with non-targeted, Doxorubicin-packaged minicells. No fluorescence was observed. **(B)** DIC image of (A) showing healthy astrocytoma cells. **(C)** After 8 hr incubation with EGFR-targeted, Doxorubicin-packaged minicells. Green fluorescent dots were
 25 observed over the cell surface. **(D)** After 24 hr incubation with non-targeted, Doxorubicin-packaged minicells. A few red fluorescent dots were observed over some cells. **(E)** After 24 hr incubation with targeted, Doxorubicin-packaged minicells. Intense red fluorescent dots were observed over most of the cell surface. There was diffuse red fluorescence in the astrocytoma cell cytoplasm (revealed as
 30 light grey area over the cell). **(F)** After 24 hr incubation with targeted Doxorubicin-

packaged minicells. Minicell surface-attached bispecific antibody was observed as green fluorescence. (G) Same image as (F), but analyzed with a filter appropriate to reveal red autofluorescence of Doxorubicin. The autofluorescence is shown as a light grey area over the cell. (H) Images (F) and (G) were merged to show co-localization of the green and red fluorescent dots. The bright dots indicate yellow fluorescence, and the light grey diffuse region indicates red fluorescence. The image shows that most of the distinct green and red dots were co-localized and appeared as yellow dots.

Figure 4 shows highly efficient tumor stabilization and regression of established human breast cancer xenografts in nude mice, using targeted Doxorubicin-packaged minicells both by intravenous and intratumoral routes of administration. The result shows mean tumor volume (y-axis) in various groups of mice vs. days (x-axis) post-establishment of tumor xenografts. Mice were randomized into 7 groups (11 mice per group) with tumor volumes in the range of 50 mm³ to 80 mm³. The figure legend shows the various treatments given to each group of mice. Treatments were administered on days 16, 23 and 29 (indicated by arrows) post-xenograft establishment. Error bars are shown for each mean tumor volume measurement.

Figure 5 illustrates the safety of Doxorubicin-packaged minicells at the site of intravenous tail vein injection in nude mice, compared to injection of free Doxorubicin. (A) A representative mouse from groups 2 and 3 shown in Figure 4. A severe skin reaction occurred at the site of intravenous injection of free Doxorubicin in the tail vein. The necrotic area is shown in a bracket and arrow. (B) A representative mouse from Groups 4 to 7 shown in Figure 4. No skin reaction occurred at the site of intravenous injection of Doxorubicin-packaged minicells.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present inventors have determined that bacterially derived intact minicells are effective vehicles for packaging and delivering drugs to target mammalian cells *in vitro* and *in vivo*. More particularly, the inventors have found that a minicell carrying a drug payload can be directed to target cells, which internalize the minicell and

process it such that the drug payload is released into the cytoplasm of the target cell. Surprisingly, the drug survives this process without becoming degraded.

In one example of these discoveries, the inventors observed that drug-packaged minicells can be targeted to cancer cells, internalized into the cancer cells *in vitro*, and degraded within late endosomes or phagolysosomes, thereby releasing therapeutically effective amounts of bioactive drug into the cancer cell cytoplasm. See Figure 3 and the examples, below.

In a further example, these observations were corroborated by *in vivo* studies using human tumor xenografts in nude mice. Intravenous delivery of drug-packaged minicells demonstrated highly significant tumor xenograft reduction in all mice (11 mice per group). See Figure 4 and the examples, below.

Thus, the inventors have discovered (i) that a range of different drugs can be packaged into intact minicells, (ii) that drugs move one-way from the extracellular environment into the cytoplasm of intact minicells, (iii) that therapeutically significant concentrations of drugs can be transferred into the cytoplasm of intact minicells, (iv) that intact minicell membranes are impervious to drug leakage from minicell cytoplasm, (v) that attachment of bispecific ligands to surface structures of drug-packaged minicells does not destabilize the minicells and that minicells can thereby specifically bind to target mammalian cells both *in vitro* and *in vivo*, (vi) that phagocytosis- or endocytosis-competent mammalian cells engulf drug-packaged minicells, (vii) that non-phagocytic mammalian cells rapidly engulf surface receptor-bound drug-packaged minicells, (viii) that after engulfed minicells are degraded within vacuoles, significant amounts of bioactive drug escape the vacuolar membrane, (viii) that the escaped drug can affect its intracellular target within the mammalian cell, (ix) that chemotherapeutic drug-packaged minicells can permeate leaky vasculature surrounding tumor masses *in vivo*, (x) that highly significant therapeutic effects, including tumor regression and disease stabilization, can be achieved using chemotherapeutic drug-packaged minicells, and (xi) that drug-packaged minicells significantly reduce or eliminate unwanted toxicity.

The ability of minicells to package drugs is surprising for several reasons. It is surprising that that intact minicell membranes are permeable to a range of structurally dissimilar hydrophilic, hydrophobic and amphipatic drugs. By contrast, live bacterial cells exhibit selective membrane permeability to solutes, so it appears that minicells
5 have lost this selectivity. It also is surprising that minicells are unable to expel drugs from their cytoplasm, because live bacterial cells extrude noxious chemicals that enter into the bacterial cytoplasm. Even against a reverse osmotic gradient, in which drug-loaded minicells are suspended in phosphate-buffered saline containing no drug, minicells retain drug. This is additionally surprising because drugs appear simply to
10 diffuse into minicells through intact minicell membranes, yet the diffusion channels are not available for drugs to diffuse out of minicells. Another unexpected aspect of the present invention is that therapeutically significant drug concentrations can be packaged within minicells, because bacterial cytoplasm (and, hence, minicell cytoplasm) contains significant concentrations of biocompatible solutes. Thus, it was
15 believed that there might be insufficient spare intracellular space to accommodate high concentrations of non-biocompatible drug solutes, without loss of minicell integrity.

The ability of minicells to deliver drugs also is surprising for several reasons. It is unexpected, for example, that drug-packaged minicells do not leak drug into the
20 extracellular space. This is a persistent problem with liposomal drug delivery vectors, and minicells, like liposomes, are non-living vesicles. Nevertheless, although intact minicell membranes lack selectivity to drug permeation, the membrane integrity is sufficient to prevent leakage of intracellular solutes. Also surprising, and unlike liposomal drug delivery vectors, attachment of ligands to the surface of drug-
25 packaged minicells does not cause destabilization of minicell integrity or membrane perturbations that result in drug leakage. Further, it is unexpected that drug-packaged minicells are endocytosed rapidly by non-phagocytic mammalian cells, simply by virtue of a bispecific ligand linking the two. It was widely believed heretofore that large particles, like bacteria, can only penetrate and invade non-phagocytic
30 mammalian cells via an active process involving secretion of invasion-associated proteins by a live pathogen. Minicells are non-living vesicles lacking the ability to

actively invade non-phagocytic mammalian cells. Yet another surprise was that drug-packaged minicells carrying a bispecific ligand are able to extravasate tumor neovasculature *in vivo*. While there is considerable debate regarding the leakiness of tumor microenvironment neovasculature, the current view is that pores in the neovasculature are 150-400 nm in diameter (Gabizon *et al.*, 2003). Minicells carrying a surface ligand, however, are 400nm to 600nm in diameter, yet still are able to extravasate tumor neovasculature *in vivo*. The ability of drugs packaged in minicells to avoid degradation also is surprising for several reasons. Engulfed minicells are subjected to lysosomal and late-endosomal environments known to be harsh, and which break down minicells. Despite the harshness of these environments, the inventors observed that a range of drugs are released from minicells in a biologically active form and remain significantly unaltered. Perhaps even more surprising was the discovery that a significant concentration of drug is able to escape, in its active form, into the mammalian cell cytoplasm. Pursuant to the present invention, that is, drug concentrations within mammalian cells are sufficient to work a therapeutic effect in both *in vitro* and *in vivo* experiments.

Yet another surprising discovery is that drug-packaged minicells minimize adverse side effects. For example, at the site of intravenous injection in the tail vein of nude mice, free drug injections cause severe skin reactions (Figure 5A), whereas drug-packaged minicells do not cause such an adverse side effect.

In accord with these discoveries, the invention provides a composition consisting essentially of intact minicells that contain a desired drug, such as a cancer chemotherapy drug. The invention also provides a composition comprising (i) bacterially derived intact minicells and (ii) a pharmaceutically acceptable carrier therefor, where the minicells contain a drug, such as a cancer chemotherapy drug.

Minicells of the invention are anucleate forms of *E. coli* or other bacterial cells, engendered by a disturbance in the coordination, during binary fission, of cell division with DNA segregation. Prokaryotic chromosomal replication is linked to normal binary fission, which involves mid-cell septum formation. In *E. coli*, for

example, mutation of *min* genes, such as *minCD*, can remove the inhibition of septum formation at the cell poles during cell division, resulting in production of a normal daughter cell and an anucleate minicell. See de Boer *et al.*, 1992; Raskin & de Boer, 1999; Hu & Lutkenhaus, 1999; Harry, 2001. Minicells are distinct from other small vesicles that are generated and released spontaneously in certain situations and, in contrast to minicells, are not due to specific genetic rearrangements or episomal gene expression. For practicing the present invention, it is desirable for minicells to have intact cell walls ("intact minicells").

In addition to *min* operon mutations, anucleate minicells also are generated following a range of other genetic rearrangements or mutations that affect septum formation, for example in the *divIVB1* in *B. subtilis*. See Reeve and Cornett, 1975; Levin *et al.*, 1992. Minicells also can be formed following a perturbation in the levels of gene expression of proteins involved in cell division/chromosome segregation. For example, overexpression of *minE* leads to polar division and production of minicells. Similarly, chromosome-less minicells may result from defects in chromosome segregation for example the *smc* mutation in *Bacillus subtilis* (Britton *et al.*, 1998), *spoOJ* deletion in *B. subtilis* (Ireton *et al.*, 1994), *mukB* mutation in *E. coli* (Hiraga *et al.*, 1989), and *parC* mutation in *E. coli* (Stewart and D'Ari, 1992). Gene products may be supplied *in trans*. When over-expressed from a high-copy number plasmid, for example, CafA may enhance the rate of cell division and/or inhibit chromosome partitioning after replication (Okada *et al.*, 1994), resulting in formation of chained cells and anucleate minicells (Wachi *et al.*, 1989; Okada *et al.*, 1993). Minicells can be prepared from any bacterial cell of Gram-positive or Gram-negative origin.

Minicells of the invention contain one or more drugs. The term "drug" includes any physiologically or pharmacologically active substance that produces a local or systemic effect in animals, particularly mammals and humans. Preferably, minicells of the invention contain a sufficient quantity of drug to exert the drug's physiological or pharmacological effect on a target cell. Also preferably, drugs contained within the minicells are heterologous, or foreign, to the minicells, meaning that the minicells' parent bacterial cells do not normally produce the drug.

Drugs may be inorganic or organic compounds, without limitation, including peptides, proteins, nucleic acids, and small molecules, any of which may be characterized or uncharacterized. They may be in various forms, such as unchanged molecules, molecular complexes, pharmacologically acceptable salts, such as hydrochloride, hydrobromide, sulfate, laurate, palmitate, phosphate, nitrite, nitrate, borate, acetate, maleate, tartrate, oleate, salicylate, and the like. For acidic drugs, salts of metals, amines or organic cations, for example, quaternary ammonium, can be used. Derivatives of drugs, such as bases, esters and amides also can be used. A drug that is water insoluble can be used in a form that is a water soluble derivative thereof, or as a base derivative thereof, which in either instance, or by its delivery, is converted by enzymes, hydrolyzed by the body pH, or by other metabolic processes to the original therapeutically active form.

Drugs having any physiological or pharmacological activity are useful in this invention. It is desirable for a drug to be foreign to the minicells in which it will be packaged, meaning that the minicells' parent bacterial cells do not normally produce the drug. Cancer chemotherapy agents are highly preferred drugs. Useful cancer chemotherapy drugs include nitrogen mustards, nitrosoureas, ethyleneimine, alkane sulfonates, tetrazine, platinum compounds, pyrimidine analogs, purine analogs, antimetabolites, folate analogs, anthracyclines, taxanes, vinca alkaloids, topoisomerase inhibitors and hormonal agents. Exemplary chemotherapy drugs are Actinomycin-D, Alkeran, Ara-C, Anastrozole, Asparaginase, BiCNU, Bicalutamide, Bleomycin, Busulfan, Capecitabine, Carboplatin, Carboplatinum, Carmustine, CCNU, Chlorambucil, Cisplatin, Cladribine, CPT-11, Cyclophosphamide, Cytarabine, Cytosine arabinoside, Cytoxan, Dacarbazine, Dactinomycin, Daunorubicin, Dexrazoxane, Docetaxel, Doxorubicin, DTIC, Epirubicin, Ethyleneimine, Etoposide, Floxuridine, Fludarabine, Fluorouracil, Flutamide, Fotemustine, Gemcitabine, Herceptin, Hexamethylamine, Hydroxyurea, Idarubicin, Ifosfamide, Irinotecan, Lomustine, Mechlorethamine, Melphalan, Mercaptopurine, Methotrexate, Mitomycin, Mitotane, Mitoxantrone, Oxaliplatin, Paclitaxel, Pamidronate, Pentostatin, Plicamycin, Procarbazine, Rituximab, Steroids, Streptozocin, STI-571, Streptozocin, Tamoxifen, Temozolomide, Teniposide, Tetrazine, Thioguanine, Thiotepa, Tomudex,

Topotecan, Treosulphan, Trimetrexate, Vinblastine, Vincristine, Vindesine, Vinorelbine, VP-16, and Xeloda.

Minicell-containing compositions of this invention preferably contain fewer than about 1 contaminating parent bacterial cell per 10^7 minicells, more preferably contain fewer than about 1 contaminating parent bacterial cell per 10^8 minicells, even
5 more preferably contain fewer than about 1 contaminating parent bacterial cell per 10^9 minicells, still more preferably contain fewer than about 1 contaminating parent bacterial cell per 10^{10} minicells and most preferably contain fewer than about 1 contaminating parent bacterial cell per 10^{11} minicells.

10 Methods of purifying minicells are known in the art and described in PCT/IB02/04632. One such method combines cross-flow filtration (feed flow is parallel to a membrane surface; Forbes, 1987) and dead-end filtration (feed flow is perpendicular to the membrane surface). Optionally, the filtration combination can be preceded by a differential centrifugation, at low centrifugal force, to remove some
15 portion of the bacterial cells and thereby enrich the supernatant for minicells.

Another purification method employs density gradient centrifugation in a biologically compatible medium. After centrifugation, a minicell band is collected from the gradient, and, optionally, the minicells are subjected to further rounds of density gradient centrifugation to maximize purity. The method may further include a
20 preliminary step of performing differential centrifugation on the minicell-containing sample. When performed at low centrifugal force, differential centrifugation will remove some portion of parent bacterial cells, thereby enriching the supernatant for minicells.

Particularly effective purification methods exploit bacterial filamentation to
25 increase minicell purity. Thus a minicell purification method can include the steps of (a) subjecting a sample containing minicells to a condition that induces parent bacterial cells to adopt a filamentous form, followed by (b) filtering the sample to obtain a purified minicell preparation.

Known minicell purification methods also can be combined. One highly effective combination of methods is as follows:

5 Step A: Differential centrifugation of a minicell producing bacterial cell culture. This step, which may be performed at 2000g for about 20 minutes, removes most parent bacterial cells, while leaving minicells in the supernatant.

 Step B: Density gradient centrifugation using an isotonic and non-toxic density gradient medium. This step separates minicells from many contaminants, including parent bacterial cells, with minimal loss of minicells. Preferably, this step is repeated within a purification method.

10 Step C: Cross-flow filtration through a 0.45 μ m filter to further reduce parent bacterial cell contamination.

 Step D: Stress-induced filamentation of residual parent bacterial cells. This may be accomplished by subjecting the minicell suspension to any of several stress-inducing environmental conditions.

15 Step E: Antibiotic treatment to kill parent bacterial cells.

 Step F: Cross-flow filtration to remove small contaminants, such as membrane blebs, membrane fragments, bacterial debris, nucleic acids, media components and so forth, and to concentrate the minicells. A 0.2 μ m filter may be employed to separate minicells from small contaminants, and a 0.1 μ m filter may be
20 employed to concentrate minicells.

 Step G: Dead-end filtration to eliminate filamentous dead bacterial cells. A 0.45 μ m filter may be employed for this step.

 Step H: Removal of endotoxin from the minicell preparation. Anti-Lipid A coated magnetic beads may be employed for this step.

25 In another aspect, the invention provides a targeted drug delivery method that comprises bringing bispecific ligands into contact with (i) bacterially derived minicells that contain a drug molecule and (ii) mammalian cells. The bispecific ligands, having specificity for both minicell and mammalian cell components, cause

the minicells to bind to the mammalian cells, such that the minicells are engulfed by the mammalian cells, and the drug is released into the cytoplasm of the mammalian cells. The targeted drug delivery method may be performed *in vivo* or *in vitro*, or both *in vivo* and *in vitro*.

5 Contact between bispecific ligands, minicells and mammalian cells may occur in a number of different ways. For *in vivo* drug delivery, it is preferable to administer minicells that already have the bispecific ligand attached to them. Thus, minicells, bispecific ligands and target cells all are brought into contact when the bispecific ligand-targeted minicells reach the target cells *in vivo*. Alternatively, bispecific
10 ligands and minicells can be separately administered *in vivo*.

 Contact between the bispecific ligands, minicells and mammalian cells also may occur during one or more incubations *in vitro*. In one embodiment, the three elements are incubated together all at once. Alternatively, step-wise incubations may be performed. In one example of a step-wise approach, minicells and bi-specific
15 ligands are first incubated together to form bispecific ligand-targeted minicells, which are then incubated with target cells. In another example, bispecific ligands are first incubated with target cells, followed by an incubation with minicells. A combination of one or more *in vitro* incubations and *in vivo* administrations also may bring bispecific ligands, minicells and mammalian target cells into contact.

20 The inventors found that the targeted drug delivery approach is broadly applicable to a range of mammalian cells, including cells that normally are refractory to specific adhesion and endocytosis of minicells. For example, bispecific antibody ligands with anti-O-polysaccharide specificity on one arm and anti-HER2 receptor, anti-EGF receptor or anti-androgen receptor specificity on the other arm efficiently
25 bind minicells to the respective receptors on a range of target non-phagocytic cells. These cells include lung, ovarian, brain, breast, prostate and skin cancer cells. Moreover, the efficient binding precedes rapid endocytosis of the minicells by each of the non-phagocytic cells.

Target cells of the invention include any cell into which a drug is to be introduced. "Introduced," when used in reference to a drug, means that the drug carried within a minicell is delivered to the target cell, preferably intracellularly. Desirable target cells are characterized by expression of a cell surface receptor that, upon binding of a ligand, facilitates endocytosis. Preferred target cells are non-phagocytic, meaning that the cells are not professional phagocytes, such as macrophages, dendritic cells and Natural Killer (NK) cells. Preferred target cells also are mammalian.

Ligands useful in the targeted drug delivery methods of this invention include any agent that binds to a surface component on a target cell and to a surface component on a minicell. Preferably, the surface component on a target cell is a receptor, especially a receptor capable of mediating endocytosis. The ligands may comprise a polypeptide and/or carbohydrate component. Antibodies are preferred ligands. For example, a bispecific antibody that carries dual specificities for a surface component on bacterially derived intact minicells and for a surface component on target mammalian cells, can be used efficiently to target the minicells to the target mammalian cells *in vitro* and *in vivo*. Useful ligands also include receptors, enzymes, binding peptides, fusion/chimeric proteins and small molecules.

The selection of a particular ligand is made on two primary criteria: (i) specific binding to one or more domains on the surface of intact minicells and (ii) specific binding to one or more domains on the surface of the target cells. Thus, ligands preferably have a first arm that carries specificity for a bacterially derived intact minicell surface structure and a second arm that carries specificity for a mammalian cell surface structure. Each of the first and second arms may be multivalent. Preferably, each arm is monospecific, even if multivalent.

For binding to bacterially derived minicells, it is desirable for one arm of the ligand to be specific for the O-polysaccharide component of a lipopolysaccharide found on the parent bacterial cell. Other minicell surface structures that can be exploited for ligand binding include cell surface-exposed polypeptides and

carbohydrates on outer membranes, such as pilli, fimbriae and flagella cell surface exposed peptide segments.

For binding to target cells, one arm of the ligand is specific for a surface component of a mammalian cell. Such components include cell surface proteins, peptides and carbohydrates, whether characterized or uncharacterized. Cell surface receptors, especially those capable of activating receptor-mediated endocytosis, are desirable cell surface components for targeting. Such receptors, if over-expressed on the target cell surface, confer additional selectivity for targeting the cells to be treated, thereby reducing the possibility for delivery to non-target cells.

By way of example, one may target tumor cells, metastatic cells, vasculature cells, such as endothelial cells and smooth muscle cells, lung cells, kidney cells, blood cells, bone marrow cells, brain cells, liver cells, and so forth, or precursors of any selected cell by selecting a ligand that specifically binds a cell surface receptor motif on the desired cells. Examples of cell surface receptors include carcinoembryonic antigen (CEA), which is overexpressed in most colon, rectum, breast, lung, pancreas and gastrointestinal tract carcinomas (Marshall, 2003); heregulin receptors (HER-2, *neu* or *c-erbB-2*), which is frequently overexpressed in breast, ovarian, colon, lung, prostate and cervical cancers (Hung *et al.*, 2000); epidermal growth factor receptor (EGFR), which is highly expressed in a range of solid tumors including those of the breast, head and neck, non-small cell lung and prostate (Salomon *et al.*, 1995); asialoglycoprotein receptor (Stockert, 1995); transferrin receptor (Singh, 1999); serpin enzyme complex receptor, which is expressed on hepatocytes (Ziady *et al.*, 1997); fibroblast growth factor receptor (FGFR), which is overexpressed on pancreatic ductal adenocarcinoma cells (Kleeff *et al.*, 2002); vascular endothelial growth factor receptor (VEGFR), for anti-angiogenesis gene therapy (Becker *et al.*, 2002 and Hoshida *et al.*, 2002); folate receptor, which is selectively overexpressed in 90% of nonmucinous ovarian carcinomas (Gosselin and Lee, 2002); cell surface glycocalyx (Batra *et al.*, 1994); carbohydrate receptors (Thurnher *et al.*, 1994); and polymeric immunoglobulin receptor, which is useful for gene delivery to respiratory epithelial cells and attractive for treatment of lung diseases such as Cystic Fibrosis (Kaetzel *et al.*, 1997).

In a further example, anti-viral, anti-microbial and anti-parasitic drugs can be incorporated into intact minicells and targeted delivery of the drugs can be achieved to specific infected cells, such as HIV-infected helper CD4+ T-lymphocytes.

Preferred ligands comprise antibodies and/or antibody derivatives. As used
5 herein, the term "antibody" encompasses an immunoglobulin molecule obtained by *in vitro* or *in vivo* generation of an immunogenic response. The term "antibody" includes polyclonal, monospecific and monoclonal antibodies, as well as antibody derivatives, such as single-chain antibody fragments (scFv). Antibodies and antibody derivatives useful in the present invention also may be obtained by recombinant DNA
10 techniques.

Wild type antibodies have four polypeptide chains, two identical heavy chains and two identical light chains. Both types of polypeptide chains have constant regions, which do not vary or vary minimally among antibodies of the same class, and variable regions. Variable regions are unique to a particular antibody and comprise an
15 antigen binding domain that recognizes a specific epitope. The regions of the antigen binding domain that are most directly involved in antibody binding are "complementarity-determining regions" (CDRs).

The term "antibody" also encompasses derivatives of antibodies, such as antibody fragments that retain the ability to specifically bind to antigens. Such
20 antibody fragments include Fab fragments (a fragment that contains the antigen-binding domain and comprises a light chain and part of a heavy chain bridged by a disulfide bond), Fab' (an antibody fragment containing a single antigen-binding domain comprising a Fab and an additional portion of the heavy chain through the hinge region, F(ab')₂ (two Fab' molecules joined by interchain disulfide bonds in the
25 hinge regions of the heavy chains), a bispecific Fab (a Fab molecule having two antigen binding domains, each of which may be directed to a different epitope), and an scFv (the variable, antigen-binding determinative region of a single light and heavy chain of an antibody linked together by a chain of amino acids.)

When antibodies, including antibody fragments, constitute part or all of the ligands, they preferably are of human origin or are modified to be suitable for use in humans. So-called "humanized antibodies" are well known in the art. See, *e.g.*, Osbourn *et al.*, 2003. They have been modified by genetic manipulation and/or *in vitro* treatment to reduce their antigenicity in a human. Methods for humanizing antibodies are described, *e.g.*, in U.S. patents No. 6,639,055, No. 5,585,089, and No. 5,530,101. In the simplest case, humanized antibodies are formed by grafting the antigen-binding loops, known as complementarity-determining regions (CDRs), from a mouse mAb into a human IgG. See Jones *et al.*, 1986; Riechmann *et al.*, 1988; and Verhoeyen *et al.*, 1988. The generation of high-affinity humanized antibodies, however, generally requires the transfer of one or more additional residues from the so-called framework regions (FRs) of the mouse parent mAb. Several variants of the humanization technology also have been developed. See Vaughan *et al.*, 1998.

Human antibodies, rather than "humanized antibodies," also may be employed in the invention. They have high affinity for their respective antigens and are routinely obtained from very large, single-chain variable fragments (scFvs) or Fab phage display libraries. See Griffiths *et al.*, 1994; Vaughan *et al.*, 1996; Sheets *et al.*, 1998; de Haard *et al.*, 1999; and Knappik *et al.*, 2000.

Useful ligands also include bispecific single chain antibodies, which typically are recombinant polypeptides consisting of a variable light chain portion covalently attached through a linker molecule to a corresponding variable heavy chain portion. See U.S. Patents 5,455,030; 5,260,203 and 4,496,778. Bispecific antibodies also can be made by other methods. For example, chemical heteroconjugates can be created by chemically linking intact antibodies or antibody fragments of different specificities. See Karpovsky *et al.*, 1984. However, such heteroconjugates are difficult to make in a reproducible manner and are at least twice as large as normal monoclonal antibodies. Bispecific antibodies also can be created by disulfide exchange, which involves enzymatic cleavage and reassociation of the antibody fragments. See Glennie *et al.*, 1987.

Because Fab and scFv fragments are monovalent they often have low affinity for target structures. Therefore, preferred ligands made from these components are engineered into dimeric, trimeric or tetrameric conjugates to increase functional affinity. See Tomlinson and Holliger, 2000; Carter, 2001; Hudson and Souriau, 2001; and Todorovska *et al.*, 2001. Such conjugate structures may be created by chemical and/or genetic cross-links.

Bispecific ligands of the invention preferably are monospecific at each end, *i.e.*, specific for a single component on minicells at one end and specific for a single component on target cells at the other end. The ligands may be multivalent at one or both ends, for example, in the form of so-called diabodies, triabodies and tetrabodies. See Hudson and Souriau, 2003. A diabody is a bivalent dimer formed by a non-covalent association of two scFvs, which yields two Fv binding sites. Likewise, a triabody results from the formation of a trivalent trimer of three scFvs, yielding three binding sites, and a tetrabody results from the formation of a tetravalent tetramer of four scFvs, yielding four binding sites.

Several humanized, human, and mouse monoclonal antibodies and fragments thereof that have specificity for receptors on mammalian cells have been approved for human therapeutic use, and the list is growing rapidly. See Hudson and Souriau, 2003. An example of such an antibody that can be used to form one arm of a bispecific ligand has specificity for HER2: HerceptinTM; Trastuzumab.

Antibody variable regions also can be fused to a broad range of protein domains. Fusion to human immunoglobulin domains such as IgG1 CH3 both adds mass and promotes dimerization. See Hu *et al.*, 1996. Fusion to human Ig hinge-Fc regions can add effector functions. Also, fusion to heterologous protein domains from multimeric proteins promotes multimerization. For example, fusion of a short scFv to short amphipathic helices has been used to produce miniantibodies. See Pack and Pluckthun, 1992. Domains from proteins that form heterodimers, such as fos/jun, can be used to produce bispecific molecules (Kostelny *et al.*, 1992) and, alternately, homodimerization domains can be engineered to form heterodimers by engineering

strategies such as "knobs into holes" (Ridgway *et al.*, 1996). Finally, fusion protein partners can be selected that provide both multimerization as well as an additional function, *e.g.* streptavidin. See Dubel *et al.*, 1995.

5 In another aspect, the invention provides a composition of matter useful for introducing drug molecules into target mammalian cells with high efficiency. The composition comprises (i) a bacterially derived minicell and (ii) a bispecific ligand. The minicell and ligand may be any of those described herein. Thus, the minicell contains a drug and the bispecific ligand preferably is capable of binding to a surface component of the minicell and to a surface component of a target mammalian cell.

10 A composition consisting essentially of minicells and bispecific ligands of the present invention (that is, a composition that includes such minicells and ligands with other constituents that do not interfere unduly with the drug-delivering quality of the composition) can be formulated in conventional manner, using one or more pharmaceutically acceptable carriers or excipients.

15 The term "pharmaceutically acceptable" means that a carrier or excipient does not abrogate biological activity of the composition being administered, is chemically inert and is not toxic to the organism in which it is administered. Formulations may be presented in unit dosage form, *e.g.*, in ampules or vials, or in multi-dose containers, with or without an added preservative. The formulation can be a solution, a suspension, or an emulsion in oily or aqueous vehicles, and may contain formulatory agents, such as
20 suspending, stabilizing and/or dispersing agents. A suitable solution is isotonic with the blood of the recipient and is illustrated by saline, Ringer's solution, and dextrose solution. Alternatively, compositions may be in lyophilized powder form, for reconstitution with a suitable vehicle, *e.g.*, sterile, pyrogen-free water or physiological
25 saline. The compositions also may be formulated as a depot preparation. Such long-acting formulations may be administered by implantation (for example, subcutaneously or intramuscularly) or by intramuscular injection.

A composition of the present invention can be administered via various routes and to various sites in a mammalian body, to achieve the therapeutic effect(s) desired,

either locally or systemically. Delivery may be accomplished, for example, by oral administration, by application of the formulation to a body cavity, by inhalation or insufflation, or by parenteral, intramuscular, intravenous, intraportal, intrahepatic, peritoneal, subcutaneous, intratumoral, or intradermal administration. The mode and site of administration is dependent on the location of the target cells. For example, cystic-fibrotic cells may be efficiently targeted by inhaled delivery of the targeted recombinant minicells. Similarly, tumor metastasis may be more efficiently treated via intravenous delivery of targeted recombinant minicells. Primary ovarian cancer may be treated via intraperitoneal delivery of targeted recombinant minicells.

The present invention further provides for drug delivery by means of bringing bacterially derived minicells, which contain a drug, into contact with mammalian cells that are phagocytosis- or endocytosis-competent. Such mammalian cells, which are capable of engulfing parent bacterial cells in the manner of intracellular bacterial pathogens, likewise engulf the minicells, which release their drug payload into the cytoplasm of the mammalian cells. This drug-delivery approach can be effected without the use a targeting ligands.

A variety of mechanisms may be involved in the engulfing of minicells by a given type of cell, and the present invention is not dependent on any particular mechanism in this regard. For example, phagocytosis is a well-documented process in which macrophages and other phagocyte cells, such as neutrophils, ingest particles by extending pseudopodia over the particle surface until the particle is totally enveloped. Although described as "non-specific" phagocytosis, the involvement of specific receptors in the process has been demonstrated. See Wright & Jong (1986); Speert *et al.* (1988).

Thus, one form of phagocytosis involves interaction between surface ligands and ligand-receptors located at the membranes of the pseudopodia (Shaw and Griffin, 1981). This attachment step, mediated by the specific receptors, is thought to be dependent on bacterial surface adhesins. With respect to less virulent bacteria, such as non-enterotoxigenic *E. coli*, phagocytosis also may occur in the absence of surface

ligands for phagocyte receptors. See Pikaar *et al.* (1995), for instance. Thus, the present invention encompasses but is not limited to the use of minicells that either possess or lack surface adhesins, in keeping with the nature of their parent bacterial cells, and are engulfed by phagocytes (*i.e.*, "phagocytosis-competent" host cells), of which neutrophils and macrophages are the primary types in mammals.

Another engulfing process is endocytosis, by which intracellular pathogens exemplified by species of *Salmonella*, *Escherichia*, *Shigella*, *Helicobacter*, *Pseudomonas* and *Lactobacilli* gain entry to mammalian epithelial cells and replicate there. Two basic mechanisms in this regard are Clathrin-dependent receptor-mediated endocytosis, also known as "coated pit endocytosis" (Riezman, 1993), and Clathrin-independent endocytosis (Sandvig & Deurs, 1994). Either or both may be involved when an engulfing-competent cell that acts by endocytosis (*i.e.*, an "endocytosis-competent" host cell) engulfs minicells in accordance with the invention. Representative endocytosis-competent cells are breast epithelial cells, enterocytes in the gastrointestinal tract, stomach epithelial cells, lung epithelial cells, and urinary tract and bladder epithelial cells.

When delivering a drug to an engulfing-competent mammalian cell without the use of a targeting ligand, the nature of the application contemplated will influence the choice of bacterial source for the minicells employed. For example, *Salmonella*, *Escherichia* and *Shigella* species carry adhesins that are recognized by endocytosis-mediating receptors on enterocytes in the gastrointestinal tract, and may be suitable to deliver a drug that is effective for colon cancer cells. Similarly, minicells derived from *Helicobacter pylori*, carrying adhesins specific for stomach epithelial cells, could be suited for delivery aimed at stomach cancer cells. Inhalation or insufflation may be ideal for administering intact minicells derived from a *Pseudomonas* species that carry adhesins recognized by receptors on lung epithelial cells. Minicells derived from *Lactobacilli* bacteria, which carry adhesins specific for urinary tract and bladder epithelial cells, could be well-suited for intraurethral delivery of a drug to a urinary tract or a bladder cancer. The invention also provides for the use of bacterially derived intact minicells and bispecific ligands in preparing medicament for use in a

method of treating disease or modifying a trait by administration of the medicament to a cell, tissue or organ. In the medicament, minicells contain a drug molecule and bispecific ligands are capable of binding to the minicells and to target mammalian cells. Such medicaments are useful to treat various conditions and diseases, including
5 acquired diseases such as AIDS, pneumonia and tuberculosis, but are particularly useful in the context of cancer chemotherapy.

The invention further provides methods of loading minicells with a drug. Using these methods, drug packaging can be accomplished for both hydrophilic and hydrophobic drugs. One method of loading minicells with a drug involves creating a
10 concentration gradient of the drug between an extracellular medium containing the minicells and the minicell cytoplasm. When the extracellular medium contains a higher drug concentration than the minicell cytoplasm, the drug naturally moves down this concentration gradient, into the minicell cytoplasm. When the concentration gradient is reversed, however, the drug does not move out of the minicells.

15 That therapeutically significant amounts of drugs can be packaged thusly in non-living minicells without leakage is surprising for several reasons. It is known that the outer envelope of live bacteria, both Gram-negative and Gram-positive, forms an effective barrier to solutes in the surrounding medium, while being permeable to water. This protects the bacteria from deleterious effects of toxic molecules, such as
20 biocides and antibiotics. It is also known that the bacterial envelope confers intrinsic resistance to the passive diffusion and intracellular entry of hydrophobic chemicals that cannot enter through water filled hydrophilic channels, formed by membrane-associated proteins called porins.

Minicells contain the same outer envelope as their parent bacterial cells. Thus,
25 it is surprising that both hydrophilic drugs, exemplified by Doxorubicin and Vinblastine, and hydrophobic drugs, exemplified by Paclitaxel, can be readily transferred into the minicell cytoplasm by creating a simple concentration gradient of the drug between the extra-minicell and intra-minicell environments. This suggests

that the envelope permeability of non-living bacteria and their derivatives is quite different from the envelope permeability of living bacteria.

The discovery that drug movement occurs only in one direction in minicells was a greater surprise. It is well established that live bacteria have active efflux
 5 processes to remove toxic chemical entities that happen to enter their cytoplasm (reviewed by Borges-Walmsley and Walmsley, 2001). These processes are mediated by multidrug transporters, a large and diverse group of proteins capable of protecting cells against a wide variety of environmental toxins by active extrusion of noxious compounds. There are at least five known families, based on sequence similarity, of
 10 multidrug transporters. They include the (i) major facilitator (MFS), (ii) resistance-nodulation-cell division (RND), (iii) small multidrug resistance, (iv) multidrug and toxic compound extrusion, and (v) ATP-binding cassette families. These multidrug transporters are bacterial membrane bound proteins and are widely distributed in bacterial species.

15 Multidrug transporters should be conserved in minicell membranes, yet they surprisingly appear to be non-functional, possibly because minicells are non-living and lack the ATP necessary to drive multidrug transporters.

To load minicells with drugs that normally are not water soluble, the drugs initially can be dissolved in an appropriate solvent. For example, Paclitaxel can be
 20 dissolved in a 1:1 blend of ethanol and cremophore EL (polyethoxylated castor oil), followed by a dilution in PBS to achieve a solution of Paclitaxel that is partly diluted in aqueous media and carries minimal amounts of the organic solvent to ensure that the drug remains in solution. Minicells can be incubated in this final medium for drug loading. Thus, the inventors discovered that even hydrophobic drugs can diffuse into
 25 the cytoplasm of minicells to achieve a high and therapeutically significant cytoplasmic drug load. This is unexpected because the minicell membrane is composed of a hydrophobic phospholipid bilayer, which would be expected to prevent diffusion of hydrophobic molecules into the cytoplasm.

Another method of loading minicells with a drug involves culturing a recombinant parent bacterial cell under conditions wherein the parent bacterial cell transcribes and translates a therapeutic nucleic acid encoding the drug, such that the drug is released into the cytoplasm of the parent bacterial cell. For example, a gene cluster encoding the cellular biosynthetic pathway for a desired drug can be cloned
5 and transferred into a parent bacterial strain that is capable of producing minicells. Genetic transcription and translation of the gene cluster results in biosynthesis of the drug within the cytoplasm of the parent bacterial cells, filling the bacterial cytoplasm with the drug. When the parent bacterial cell divides and forms progeny minicells,
10 the minicells also contain the drug in their cytoplasm. The pre-packaged minicells can be purified by any of the minicell purification processes known in the art and described above.

Similarly, another method of loading minicells with a drug involves culturing a recombinant minicell that contains an expression plasmid encoding the drug under
15 conditions such that the gene encoding the drug is transcribed and translated within the minicell.

For producing drugs directly within parent bacterial cells or minicells, the parent bacterial cells or minicells contain a nucleic acid molecule that, upon transcription and/or translation, function to ameliorate or otherwise treat a disease or
20 modify a trait in a cell, tissue or organ. For purposes of the present description, such nucleic acid molecules are categorized as "therapeutic nucleic acid molecules." Ordinarily, the therapeutic nucleic acid is found on a plasmid within the parent bacteria or minicells.

The therapeutic nucleic acid molecule encodes a drug product, such as
25 functional RNA (*e.g.*, antisense or siRNA) or a peptide, polypeptide or protein, the production of which is desired. For example, the genetic material of interest can encode a hormone, receptor, enzyme, or (poly) peptide of therapeutic value. A therapeutic nucleic acid molecule may be the normal counterpart of a gene that expresses a protein that functions abnormally or that is present in abnormal levels in a

disease state, as is the case, for example, with the cystic fibrosis transmembrane conductance regulator in cystic fibrosis (Kerem *et al.*, 1989; Riordan *et al.*, 1989; Rommens *et al.*, 1989), with β -globin in sickle-cell anemia, and with any of α -globin, β -globin and γ -globin in thalassemia. The therapeutic nucleic acid molecule can have
5 an antisense RNA transcript or small interfering RNA, as mentioned above.

In the treatment of cancer, a therapeutic nucleic acid molecule suitable for use according to the present invention could have a sequence that corresponds to or is derived from a gene that is associated with tumor suppression, such as the *p53* gene, the retinoblastoma gene, and the gene encoding tumor necrosis factor. A wide variety
10 of solid tumors -- cancer, papillomas, and warts -- should be treatable by this approach, pursuant to the invention. Representative cancers in this regard include colon carcinoma, prostate cancer, breast cancer, lung cancer, skin cancer, liver cancer, bone cancer, ovary cancer, pancreas cancer, brain cancer, head and neck cancer, and lymphoma. Illustrative papillomas are squamous cell papilloma, choroid plexus
15 papilloma and laryngeal papilloma. Examples of wart conditions are genital warts, plantar warts, epidermodysplasia verruciformis, and malignant warts.

A therapeutic nucleic acid molecule for the present invention also can comprise a DNA segment coding for an enzyme that converts an inactive prodrug into one or more cytotoxic metabolites so that, upon *in vivo* introduction of the prodrug,
20 the target cell in effect is compelled, perhaps with neighboring cells as well, to commit suicide. Preclinical and clinical applications of such a "suicide gene," which can be of non-human origin or human origin, are reviewed by Spencer (2000), Shangara *et al.* (2000) and Yazawa *et al.* (2002). Illustrative of suicide genes of non-human origin are those that code for HSV-thymidine kinase (*tk*), cytosine deaminase
25 (CDA) + uracil phosphoribosyltransferase, xanthine-guanine phosphoribosyl-transferase (GPT), nitroreductase (NTR), purine nucleoside phosphorylase (PNP, DeoD), cytochrome P450 (CYP4B1), carboxypeptidase G2 (CPG2), and D-amino acid oxidase (DAAO), respectively. Human-origin suicide genes are exemplified by genes
30 that encode carboxypeptidase A1 (CPA), deoxycytidine kinase (dCK), cytochrome P450 (CYP2B1,6), LNGFR/FKBP/Fas, FKBP/Caspases, and ER/p53, respectively.

According to the invention, the therapeutic nucleic acid typically is contained on a plasmid within the parent bacterial cell or minicell. The plasmid also may contain an additional nucleic acid segment that functions as a regulatory element, such as a promoter, a terminator, an enhancer or a signal sequence, and that is
5 operably linked to the therapeutic nucleic acid segment.

A plasmid within a parent bacterial cell or minicell of the invention also may contain a reporter element. A reporter element confers on its recombinant host a readily detectable phenotype or characteristic, typically by encoding a polypeptide, not otherwise produced by the host, that can be detected, upon expression, by
10 histological or *in situ* analysis, such as by *in vivo* imaging techniques. For example, a reporter element delivered by an intact minicell, according to the present invention, could code for a protein that produces, in the engulfing host cell, a colorimetric or fluorometric change that is detectable by *in situ* analysis and that is a quantitative or semi-quantitative function of transcriptional activation. Illustrative of these proteins
15 are esterases, phosphatases, proteases and other enzymes, the activity of which generates a detectable chromophore or fluorophore.

Preferred examples are *E. coli* β -galactosidase, which effects a color change via cleavage of an indigogenic substrate, indolyl- β -D-galactoside, and a luciferase, which oxidizes a long-chain aldehyde (bacterial luciferase) or a heterocyclic
20 carboxylic acid (luciferin), with the concomitant release of light. Also useful in this context is a reporter element that encodes the green fluorescent protein (GFP) of the jellyfish, *Aequorea victoria*, as described by Prasher *et al.* (1995). The field of GFP-related technology is illustrated by two published PCT applications, WO 095/21191 (discloses a polynucleotide sequence encoding a 238 amino-acid GFP apoprotein,
25 containing a chromophore formed from amino acids 65 through 67) and WO 095/21191 (discloses a modification of the cDNA for the apo-peptide of *A. victoria* GFP, providing a peptide having altered fluorescent properties), and by a report of Heim *et al.* (1994) of a mutant GFP, characterized by a 4-to-6-fold improvement in excitation amplitude.

The following examples illustrate provide a more complete understanding of the invention and are illustrative only.

Example 1. Efficient packaging of the hydrophilic cancer chemotherapeutic drugs Doxorubicin and Vinblastine in bacterially derived intact minicells

5 This example demonstrates that hydrophilic drugs can be packaged into the cytoplasm of bacterially derived intact minicells.

Doxorubicin is a strong antimitogenic anthracycline antibiotic isolated from *Streptomyces peucetius*, and is commonly employed for treating breast carcinoma (Henderson *et al.*, 1989; Cowan *et al.*, 1991; Chan *et al.*, 1999; Paridaens
10 *et al.*, 2000; Norris *et al.*, 2000). Even with the availability of taxanes and other new agents, Doxorubicin remains a mainstay of treatment for patients with metastatic disease.

Vinca alkaloids constitute a chemical class of major interest in cancer chemotherapy. The lead compounds, Vinblastine and Vincristine, have been
15 employed in clinical practice for more than thirty years and remain widely used to this day. Vinblastine inhibits cell proliferation by capping microtubule ends, thereby suppressing mitotic spindle microtubule dynamics.

Minicells were obtained from an *S. typhimurium minCDE*- mutant strain generated previously, as described in international application No. PCT/IB02/04632, and were purified via a gradient centrifugation / filamentation / filtration / endotoxin
20 removal procedure described above.

Drug was packaged into the minicells by creating a concentration gradient of the drug between the extracellular and intracellular compartments. Drug moved down this gradient and into the minicell cytoplasm, through the intact minicell membrane.

25 The purified minicells were packaged with chemotherapeutic drug Doxorubicin (Sigma Chemical Company, St. Louis, MO, USA) as follows. 7×10^9 minicells in BSG solution were centrifuged, the supernatant was discarded and the

minicells were resuspended in 940 ul BSG and 60 ul of Doxorubicin solution (1mg/ml; dissolved in sterile distilled water). The suspension was incubated overnight at 37°C with rotation to allow the Doxorubicin to diffuse into the minicell cytoplasm. Excess Doxorubicin non-specifically attached to the outer surface of the minicells was then washed away by stirred cell ultrafiltration as follows. Amicon stirred ultrafiltration cell Model 8010 (Millipore, Billerica, MA, USA) was assembled according to the manufacturer's instructions with an ultrafiltration membrane disc (polyethersulfone; molecular weight cut-off of 300 kDa; Millipore). The cell was washed three times with sterile distilled water followed by a further three washes with BSG. The cell was then filled with 9 ml of fresh BSG and the 1 ml solution of Doxorubicin-packaged minicells was added. The cell was kept under a pressure of 10 psi, stirred until the volume was reduced to 5 ml and topped-off with 5 ml BSG. Ultrafiltration was continued until the volume again dropped to 5 ml. This topping-off / ultrafiltration procedure was performed 6 times to enable a thorough washing of the exterior surfaces of the Doxorubicin-packaged minicells. During the last ultrafiltration, the volume was reduced to 1 ml and the sample was transferred to a sterile Eppendorf centrifuge tube, followed by centrifugation at 13,200 rpm for 10 minutes to pellet the Doxorubicin-packaged minicells.

Doxorubicin-packaged minicells were mounted on glass slides and were visualized using a fluorescence microscope (Leica model DM LB light microscope, 100x magnification; Leica Microsystems, Germany) because Doxorubicin is intrinsically fluorescent. The results were captured using the Leica DC camera and Leica IM image management software. The appropriate filter was used to permit visualization of Doxorubicin's autofluorescence (excitation 488 nm, emission 550 nm; red fluorescence). The results revealed (Figure 1A) that all the minicells fluoresced bright red suggesting that the Doxorubicin had been transferred into the minicell cytoplasm and, despite the extensive washing steps using the stirred cell ultrafiltration system, the Doxorubicin was unable to diffuse out of the minicell cytoplasm. This was surprising because, during the washing steps, the concentration gradient of Doxorubicin had been reversed, *i.e.*, the Doxorubicin concentration in the minicell cytoplasm was higher than that of extracellular environment (BSG solution).

To demonstrate that drug-packaging in minicells is not limited to doxorubicin, similar experiments were performed with another cancer chemotherapeutic drug, Vinblastine, that has low solubility in water. This drug does not autofluoresce; hence BODIPY-FL-conjugated Vinblastine (Molecular Probes, Eugene, OR, USA), a
5 fluorescent analog, was used (excitation 505 nm, emission 513 nm; red fluorescence). The purified minicells were packaged with BODIPY-FL-conjugated Vinblastine as follows: the drug was initially dissolved in methanol (stock solution of 10 mg/ml) and diluted 1:10 in sterile PBS to give a stock solution of 1 mg/ml. 7×10^9 minicells in BSG solution were centrifuged, supernatant was discarded and the minicells were
10 resuspended in 940 ul BSG and 60 ul of BODIPY-FL-conjugated Vinblastine solution (1mg/ml stock solution). This gave a final concentration of 60 ug of drug in 1 ml of minicell suspension. The suspension was incubated overnight at 37°C with rotation to allow the drug to diffuse into the minicell cytoplasm. The subsequent procedures of washing the excess drug by ultrafiltration up to the stage of final resuspension of
15 drug-packaged minicells in BSG prior to visualization by fluorescence microscopy were the same as described above for Doxorubicin.

The drug-packaged minicells were mounted on glass slides and were visualized using a fluorescence microscope as above and the results were captured using the Leica DC camera and Leica IM image management software. The
20 appropriate filter was used to permit visualization of red fluorescence of BODIPY-FL-conjugated Vinblastine.

These results revealed (Figure 1B) that all the minicells fluoresced bright red, indicating that the drug had been transferred into the minicell cytoplasm and, similarly to the observations for Doxorubicin, that the extensive washing steps, using
25 the stirred cell ultrafiltration system, did not result in an efflux of the drug from the minicells into the extracellular fluid. This was surprising, too, because it is conventional wisdom thought that only highly hydrophilic solutes can enter into a bacterial cell via diffusion, possibly through porin channels found in bacterial membranes. The present results show, however, that even drugs that are not highly

hydrophilic can diffuse through the membrane of a non-living bacterial cell derivative, such as a minicell.

Example 2. Efficient packaging of the hydrophobic cancer chemotherapeutic drug Paclitaxel in bacterially derived intact minicells

This example shows that hydrophobic drugs can be packaged into the cytoplasm of bacterially derived intact minicells. Because the minicell surface membrane is composed of a phospholipid bilayer, diffusion of highly hydrophobic drugs across this barrier would not be expected.

Taxol (Paclitaxel; registered trademark of Bristol-Myers Squibb Company) is a tricyclic diterpene originally isolated from the bark of a Pacific yew tree, and more recently from the needles of the western yew tree *Taxus brevifolia*. Paclitaxel is one of the most important chemotherapeutic agents, having promising antitumor activity, especially against ovarian, breast, and lung cancers (Mekhail and Markman, 2002). Paclitaxel is an antimitotic agent that binds to tubulin in a 1:1 stoichiometry with tubulin heterodimers stabilizing microtubules and driving a high percentage of cells to arrest in the G₂/M phase, progress slowly in the cell cycle without cytokinesis, form multinucleated polyploid cells, and undergo apoptosis. Paclitaxel has an extremely low aqueous solubility of 0.00025 mg/ml and has to be solubilized in certain cosolvents such as 50% Cremophore EL and 50% Ethanol.

To demonstrate that a hydrophobic drug like Paclitaxel could be transported into the minicell cytoplasm, a fluorescent derivative of Paclitaxel, Oregon Green® 488 conjugated Paclitaxel (Molecular Probes, Eugene, OR, USA; absorbance 496 nm, emission 524 nm) was used. Two different methods were adopted to solubilize the drug: (i) in ethanol (to give a 7.58 mM stock solution), and (ii) in ethanol:cremophore EL (1:1 vol/vol; 3.79 mM stock solution). Each stock solution was diluted 1:10 (vol/vol) in PBS to give 758 uM and 379 uM stock solutions, respectively. The latter stock solutions were added to the minicell suspension (10⁹ minicells) at a 1:20 dilution to give a final concentration of Oregon Green® 488 conjugated Paclitaxel

concentration in the minicell extracellular environment of 40 uM and 20 uM, respectively. The minicells were incubated with the drug at 37 °C overnight with rotation and subsequently washed with ultrafiltration as described in Example 1 for Doxorubicin and Vinblastine. The minicells were resuspended and visualised by fluorescence microscopy, also as described in Example 1. The results revealed (Figure 2) that all minicells fluoresced bright green, suggesting that both methods enabled the transfer of Paclitaxel from the extracellular milieu via the minicell membrane and into the cytoplasm of the minicell. This was surprising because it was not expected that the highly hydrophobic drug would diffuse into the minicell cytosol via the phospholipid bilayer (hydrophobic) membrane of the minicell. Additionally, similar to the observations in the experiments in Example 1, the reversal of the osmotic gradient during the extensive washing steps did not cause efflux of the drug out of the minicell cytoplasm.

The results in Examples 1 and 2 demonstrate that the simple techniques described above can be used to readily package both hydrophilic and hydrophobic drugs into minicell drug delivery vehicles.

Example 3. Targeted delivery *in vitro* of Doxorubicin to non-phagocytic human brain cancer cells via ligand-targeted and Doxorubicin-packaged minicells

This example demonstrates that a chemotherapeutic drug, Doxorubicin in this example, packaged in intact minicells carrying a cell surface-bound bispecific ligand, can (a) specifically bind to a target non-phagocytic mammalian cell surface, the EGF receptor on human brain cancer cells in this example, and (b) deliver the drug intracellularly within the mammalian cell following endocytosis and breakdown of Doxorubicin-packaged minicells.

S. typhimurium minCDE-derived minicells were purified and packaged with Doxorubicin, as described in Example 1.

A bispecific antibody was constructed as described above and in U.S. Patent Application No. 10/602,021. Briefly, anti-*S. typhimurium* lipopolysaccharide

(Biodesign, Saco, Maine, USA) and anti-human Epidermal Growth Factor Receptor (EGFR) mouse monoclonal antibodies (Oncogene Research Products, Cambridge, MA, USA) were linked to purified recombinant protein A/G via the Fc fragments of each monoclonal antibody. In brief, the procedure was as follows.

5 Purified recombinant protein A/G (Pierce Biotechnology, Rockford, IL, USA) was diluted to a final concentration of 100 ug/ml in Immunopure binding buffer (Pierce Biotechnology) and 0.5 ml of the solution was incubated overnight at 4°C with a premixed solution containing 20 ug/ml each of anti-*S. typhimurium* LPS and anti-human EGF receptor monoclonal antibodies. The excess antibodies unbound to
10 protein A/G were then removed as follows. Dynabeads® Protein G solution (Dynabeads® [2.8 µm] coated with recombinant Protein G covalently coupled to the surface of the magnetic particles; Dynal Biotech, Oslo, Norway) was mixed gently and 100 µl of the solution was transferred into an Eppendorf centrifuge tube. The tube was placed in a Dynal MPC-S (Magnetic Particle Concentrator, type S) to
15 immobilize the beads and the supernatant was discarded. The beads were resuspended in 0.5 ml of washing solution containing 0.1M Na-phosphate buffer (pH 5.0). The bead immobilization and washing steps were repeated three times. The solution containing protein A/G-bispecific antibody complex was added to the beads and incubated with gentle mixing at room temperature for 40 min. The tube was
20 placed on the MPC-S stand to immobilize the beads and the protein A/G-bispecific antibody complex was removed with a pipette. This step eliminated the unbound excess monoclonal antibodies and provided a solution that carried the bispecific antibody linked to protein A/G via their Fc fragments.

25 The anti-EGFR monoclonal antibody was selected because the target cells to be tested were human brain cancer cells U87-MG (ATCC, Rockville, MD, USA; human malignant astrocytoma epithelial cell line) that are known to overexpress the EGF receptor on the cell surface.

 The bispecific antibody was tagged with a fluorescent dye to enable visualization and tracking, by fluorescence confocal microscopy, of the targeted

minicells. The procedure was as follows: Alexa Fluor 488 protein labeling kit (Molecular Probes, Eugene, OR, USA) was used to label the bispecific antibody. Alexa Fluor 488 dye (absorbance 494 nm, emission 519 nm; green fluorescence) was conjugated via the free amine groups of the bispecific antibody according to the manufacturer's instructions.

U87-MG astrocytoma cells were grown on 15 mm coverslips in 12-well tissue culture plates (Cellstar; Greiner Bio-One GmbH, Frickenhausen, Germany). Cells were grown in RPMI 1640 medium with 5% cosmic calf serum (Hyclone, Logan, UT, USA) and 2 mM glutamine and incubated at 37°C with 5% CO₂. Cells were grown to 40% confluency and quadruple wells were treated as follows: (a) untreated cells as negative controls, (b) 10⁸ non-targeted empty minicells, (c) 10⁸ targeted empty minicells, (d) 10⁸ non-targeted Doxorubicin-packaged minicells, and (e) 10⁸ targeted Doxorubicin-packaged minicells. The incubation reaction was terminated after 8 hrs in 2 wells of each sample and the remaining duplicate samples were terminated after 24 hrs. After incubation, the cells were washed four times with PBS and fixed with 4% formaldehyde for 10 min. The fixative was washed three times with PBS and the coverslips were inverted onto glass microscope slides with glycerol. The coverslips were sealed with 1% agarose.

The slides were viewed by fluorescence confocal microscopy (Fluoview, Olympus America, Melville, NY, USA). Fluorescence and Differential Image Contrast (DIC) images were collected and the results revealed that within 8 hrs of incubation, targeted (carrying the Alexa Fluor 488-conjugated bispecific antibody; green fluorescence) Doxorubicin-packaged minicells showed most cells covered by several green fluorescent dots (Figure 1C), while the non-targeted (lacking the fluorescence-labeled bispecific antibody) showed only some green fluorescent dots on very few cells (Figures 1A-B). This suggested that the bispecific antibody specifically enabled the Doxorubicin-packaged minicells to strongly adhere to the surface of the astrocytoma cells (Figure 1C), presumably via the EGF receptor. After 24 hrs co-incubation of astrocytoma cells and Doxorubicin-packaged minicells (targeted and non-targeted), the results when visualized for red fluorescence

(Doxorubicin autofluorescence is red) showed that most astrocytoma cells carried intense red fluorescent dots on the cell surface (Figure 1E) and many cells showed diffuse red fluorescence within the cell cytoplasm, as determined by viewing sections through the cell by fluorescence confocal microscopy. This result contrasted with that for astrocytoma cells incubated for 24 hrs with non-targeted Doxorubicin-packaged minicells, where only a few red fluorescent dots (non-specific adhesion of minicells) could be observed on a few cells (Figure 1D). This suggests that many of the Doxorubicin-packaged minicells had been internalized, most likely via EGF receptor-mediated endocytosis and that some minicells had broken down and released the Doxorubicin within the astrocytoma cell cytoplasm. The results were further confirmed when the green fluorescent and red fluorescent images were merged to reveal that most of the green dots (Figure 1F) co-localized with the red dots (Figure 1G), resulting in yellow dots (Figure 1H). The diffuse red fluorescence observed earlier within the astrocytoma cell cytoplasm remained red, suggesting that the Doxorubicin (red autofluorescence) was no longer packaged within the minicells (revealed green by minicell surface localized bispecific antibody), further suggesting that some minicells that had been endocytosed had broken down and released the Doxorubicin within the astrocytoma cell cytoplasm.

Example 4. Highly efficient delivery of chemotherapeutic drug Doxorubicin via targeted and drug-packaged minicells to human breast cancer xenografts in nude mice

This example demonstrates that bispecific ligand-targeted and Doxorubicin-packaged intact minicells can effect regression of human breast cancer cell tumor xenografts established in 6 week old female athymic nude mice.

As described above, minicells were obtained from an *S. typhimurium* *minCDE*- mutant strain and were purified using a gradient centrifugation / filamentation / filtration / endotoxin removal procedure. The purified minicells were packaged with chemotherapeutic drug Doxorubicin as described in Example 1.

A bispecific antibody was constructed as described in Example 3. An anti-EGFR monoclonal antibody was selected because the xenografted cells were human breast cancer cells MDA-MB-468 that are known to overexpress the EGF receptor on the cell surface.

5 Recombinant minicells (10^{10}) were incubated with the protein A/G-bispecific antibody for 1 hour at room temperature, to coat the minicells with the antibody via its anti-LPS Fab region.

 The mice used in this example were purchased from Animal Resources Centre, Perth, WA, Australia, and all animal experiments were performed in
10 compliance with the guide of care and use of laboratory animals and with Animal Ethics Committee approval. The experiments were performed in the NSW Agriculture accredited small animal facility at EnGeneIC Pty Ltd (Sydney, NSW, Australia). Human breast adenocarcinoma cells (MDA-MB-468, ATCC; human mammary epithelial cells; non-phagocytic) were grown in tissue culture to full
15 confluency in T-75 flasks in RPMI 1640 medium supplemented with 5% Bovine Calf Serum (GIBCO-BRL Life Technologies, Invitrogen Corporation, Carlsbad, CA, USA) and glutamine (Invitrogen) in a humidified atmosphere of 95% air and 5% CO₂ at 37°C. 1×10^6 cells in 50 uL serum-free media together with 50 uL growth factor reduced matrigel (BD Biosciences, Franklin Lakes, NJ, USA) were injected
20 subcutaneously between the shoulder blades of each mouse using a 23-gauge needle. The tumors were measured twice a week using an electronic digital caliper (Mitutoyo, Japan, precision to 0.001) and mean tumor volume was calculated using the formula, length (mm) x width² (mm) X 0.5 = volume (mm³). 16 days post-implantation, the tumors reached volumes between 50 mm³ and 80 mm³, and mice were randomized to
25 seven different groups of 11 per group.

 The experiment was designed as follows. **Group 1 (control)** received no treatment. **Group 2 (control)** received free Doxorubicin (5 ug/gm of mouse body weight) intravenously, once a week for 3 weeks i.e. days 16, 23 and 29 post-xenograft establishment. This control was included to determine the effect of free Doxorubicin

on tumor cells and to assess toxic side-effects. **Group 3 (control)** was the same as group 2 except that the Doxorubicin was administered intratumorally. **Group 4 (control)** received 10^8 non-targeted Doxorubicin-packaged minicells intravenously on days 16, 23 and 29 post-xenograft establishment. This group was included to
5 determine if non-targeted minicells carrying the drug would non-specifically enter into non-target cells and result in toxicity. **Group 5 (control)** was the same as group 4, except the minicells were given intratumorally. **Group 6 (experimental)** received 10^8 targeted, Doxorubicin-packaged minicells intravenously days 16, 23 and 29 post-xenograft establishment. This group was included to determine if the targeted,
10 Doxorubicin-packaged minicells given in the tail vein could follow the required sequence of events to achieve tumor stabilization and/or regression: extravasate at the tumor site (shoulder blade region), specifically bind to the human breast cancer cells, be endocytosed, broken down intracellularly and release the drug payload into the cancer cell cytoplasm to result in cell death and hence either tumor stabilization
15 and/or regression. **Group 7 (experimental)** was same as group 6 except that the minicells were given intratumorally.

The results revealed a striking contrast between the mean tumor volumes in control groups (Figure 4, Groups 1 to 5) and experimental groups (Figure 4, Groups 6 and 7). The tumor volumes in the experimental groups were rapidly stabilized and
20 showed significant regression in most of the 11 animals in each group. In contrast, the mean tumor volumes in all the different control groups continued to rise and by day 36 post-xenograft establishment the experiment based on tumor volume measurement was terminated because the control animals were too sick. The experimental animals, on the other hand, were healthy and did not show any toxic side
25 effects of the treatment. Statistical analysis of the data using One-way ANOVA showed that experimental groups (6 and 7) were highly significant compared to the control groups 1 to 5 ($p=0.00001$). This result is a first demonstration of targeted *in vivo* drug delivery to non-phagocytic mammalian cells mediated by bacterially derived intact drug-packaged minicells.

Interestingly, the free Doxorubicin given in the tail vein of mice (Group 2) showed severe reaction at the site of the injection (Figure 5A), a well known side-effect of free Doxorubicin intravenous injections. In contrast, the mice given targeted or non-targeted Doxorubicin-packaged minicells did not show any adverse reaction at the site on the injection (Figure 5B), suggesting that the minicell-packaged Doxorubicin prevented free Doxorubicin reactivity with skin tissue at the site of injection.

These results suggest the following: (a) minicells are able to package a potentially highly toxic drug like Doxorubicin in the minicell cytoplasm and the drug does not appear to leak out of the minicell membrane. Hence, the lack of skin reactivity to at the site of the tail vein injection (Groups 4 to 7; Figure 5B) that was seen with free Doxorubicin (Groups 2 and 3; Figure 5A), (b) Doxorubicin-packaged minicells are safe to at least the nude mice when the minicells are injected intravenously (Groups 4 to 7), suggesting that the free endotoxin (lipopolysaccharide) removal procedure adopted and previously invented by the current inventors (U.S. patent application number PCT/IB02/04632) is sufficient to provide a dose of minicells sufficiently free of endotoxin to be safe for intravenous administration, (c) targeted minicells appear to be small enough to extravasate within the leaky tumor neovasculature, to enable Doxorubicin-packaged minicells to enter into the tumor microenvironment, (d) targeted Doxorubicin-packaged minicells appear to specifically bind to the EGF receptor that is known to be overexpressed on the surface of MDA-MB-468 cells and post-endocytosis, the minicells break down and release Doxorubicin, resulting in tumor cell death and the observed tumor regression (Groups 6 and 7; Figure 4), (e) following intravenous injection the targeted and Doxorubicin-packaged minicells reach the tumor microenvironment in significant concentration to achieve tumor regression. Accordingly, minicells do not appear to have been eliminated by circulating professional phagocytic cells in significant quantities to obviate the observed therapeutic effect.

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WHAT IS CLAIMED IS:

1. A composition comprising (i) intact minicells that contain a drug molecule and (ii) a pharmaceutically acceptable carrier therefor.
2. The composition of claim 1, wherein said composition contains fewer
5 than about 1 contaminating parent bacterial cell per 10^7 minicells.
3. The composition of claim 1, wherein said composition contains fewer than about 1 contaminating parent bacterial cell per 10^8 minicells
4. The composition of claim 1, wherein said composition contains fewer than about 1 contaminating parent bacterial cell per 10^9 minicells
- 10 5. The composition of claim 1, wherein said composition contains fewer than about 1 contaminating parent bacterial cell per 10^{10} minicells
6. The composition of claim 1, wherein said composition contains fewer than about 1 contaminating parent bacterial cell per 10^{11} minicells.
7. A composition consisting essentially of intact minicells that contain a
15 drug molecule.
8. A targeted drug delivery method that comprises bringing bispecific ligands into contact with (a) bacterially derived minicells that contain a drug molecule and (b) target mammalian cells, such that (i) said bispecific ligands cause said minicells to bind to said mammalian cells, (ii) said minicells are engulfed by said
20 mammalian cells, and (iii) said drug is released into the cytoplasm of said mammalian cells
9. The method of claim 8, wherein said target mammalian cells are non-phagocytic cells.
10. The method of claim 8, wherein said bispecific ligand comprises
25 polypeptide, carbohydrate or glycopeptide.

11. The method of claim 8, wherein said bispecific ligand comprises a first arm that carries specificity for a bacterially derived minicell surface structure and a second arm that carries specificity for a non-phagocytic mammalian cell surface receptor.

5 12. The method of claim 11, wherein said first arm and said second arm are monospecific.

13. The method of claim 11, wherein said first arm and said second arm are multivalent.

10 14. The method of claim 11, wherein said minicell surface structure is an O-polysaccharide component of a lipopolysaccharide on said minicell surface.

15. The method of claim 11, wherein said minicell surface structure is a member of the group consisting of outer membrane proteins, pilli, fimbriae, flagella, and cell-surface exposed carbohydrates.

15 16. The method of claim 11, wherein said mammalian cell surface receptor is capable of activating receptor-mediated endocytosis of said minicell.

17. The method of claim 8, wherein said bispecific ligand comprises an antibody or antibody fragment.

18. The method of claim 8, wherein said bispecific ligand comprises a humanized antibody.

20 19. The method of claim 8, wherein said minicell comprises an intact cell wall.

20. The method of claim 8, wherein said drug is a chemotherapeutic agent.

21. The method of claim 8, wherein said mammalian cells are *in vitro*.

22. The method of claim 8, wherein said mammalian cells are *in vivo*.

23. The method of claim 8, wherein said drug is encoded on a plasmid contained within said minicells.

24. The method of claim 23, wherein said plasmid comprises a regulatory element.

5 25. The method of claim 23, wherein said plasmid comprises a reporter element

26. A drug delivery method that comprises bringing bacterially derived minicells that contain a drug into contact with mammalian cells that are phagocytosis- or endocytosis-competent, such that said minicells are engulfed by said mammalian
10 cells and said drug is released into the cytoplasm of said mammalian cells.

27. The method of claim 26, wherein said minicells comprise an intact cell wall.

28. The method of claim 26, wherein said drug is a chemotherapeutic agent.

15 29. The method of claim 26, wherein said minicells are *in vitro*.

30. The method of claim 26, wherein said minicells are *in vivo*.

31. The method of claim 26, wherein said drug is encoded on a plasmid contained within said minicells.

20 32. The method of claim 31, wherein said plasmid comprises a regulatory element.

33. The method of claim 31, wherein said plasmid comprises a reporter element.

34. A method of loading minicells with a drug, comprising the step of creating a concentration gradient of said drug between an extracellular medium

containing said minicells and the minicell cytoplasm, such that said drug moves down said concentration gradient, into the minicell cytoplasm.

35. A method of loading minicells with a drug, comprising the steps of:
- (a) culturing a recombinant parent bacterial cell capable of producing minicells under conditions such that said parent bacterial cell transcribes and translates a therapeutic nucleic acid encoding said drug, such that said drug is released into the cytoplasm of said parent bacterial cell, and then
 - (b) allowing said parent bacterial cell to form one or more minicells containing said drug in their cytoplasm.

36. A method of loading minicells with a drug, comprising the step of culturing a recombinant minicell that contains a therapeutic nucleic acid encoding said drug under conditions such that said therapeutic nucleic acid encoding said drug is transcribed and translated within said minicells.

37. A composition comprising (i) a bacterially derived minicell that contains a drug molecule and (ii) a bispecific ligand that is capable of binding to a surface component of said minicell and to a surface component of a non-phagocytic mammalian cell.

38. Use of bacterially derived intact minicells and bispecific ligands in the preparation of a medicament, said minicells containing a drug molecule and said bispecific ligands being capable of binding to said minicells and to target non-phagocytic mammalian cells, for use in a method of treating a disease or modifying a trait by administration of said medicament to a cell, tissue, or organ.

ABSTRACT OF THE DISCLOSURE

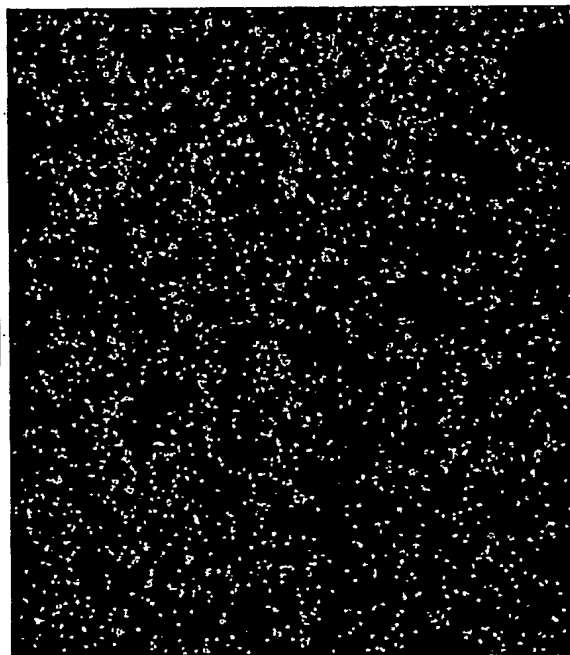
A composition comprising intact minicells that contain a drug molecule is useful for targeted drug delivery. One targeted drug delivery method employs bispecific ligands, comprising a first arm that carries specificity for a bacterially
5 derived minicell surface structure and a second arm that carries specificity for a mammalian cell surface receptor, to target drug-loaded minicells to specific mammalian cells and to cause endocytosis of the minicells by the mammalian cells. Another drug delivery method exploits the natural ability of phagocytic mammalian cells to engulf minicells without the use of bispecific ligands.

Title: METHODS FOR TARGETED DRUG
DELIVERY TO NON-PHAGOCYTIC
MAMMALIAN CELLS VIA BACTERIALLY
DERIVED INTACT MINICELLS INVITRO AND
INVIVO

Inventor(s): Himanshu Brahmabhatt et al.
DOCKET NO.: 060348-0106

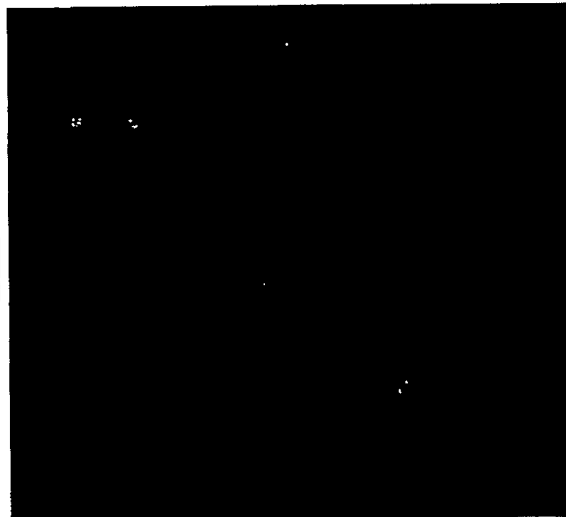
Figure 1

A



Doxorubicin packaged
minicells

B

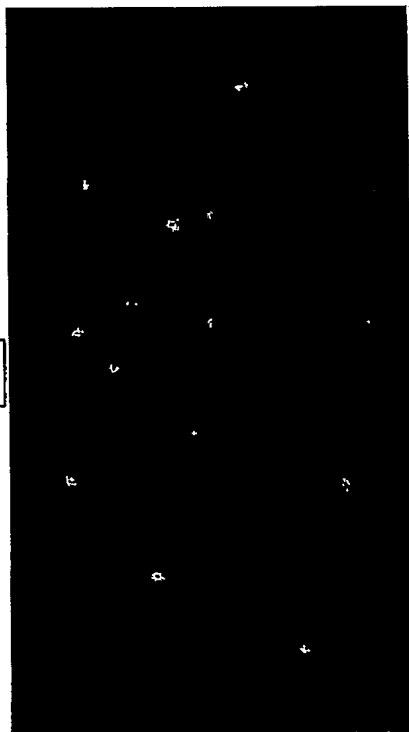


Vinblastine packaged
minicells

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Figure 2

A



B



**Minicells packaged in a solution
of 20uM Oregon green 488
conjugated paclitaxel dissolved
in ethanol:cremophore EL**

**Minicells packaged in a solution
of 40uM Oregon green 488
conjugated paclitaxel dissolved
in ethanol**

**Title: METHODS FOR TARGETED DRUG
DELIVERY TO NON-PHAGOCYTIC
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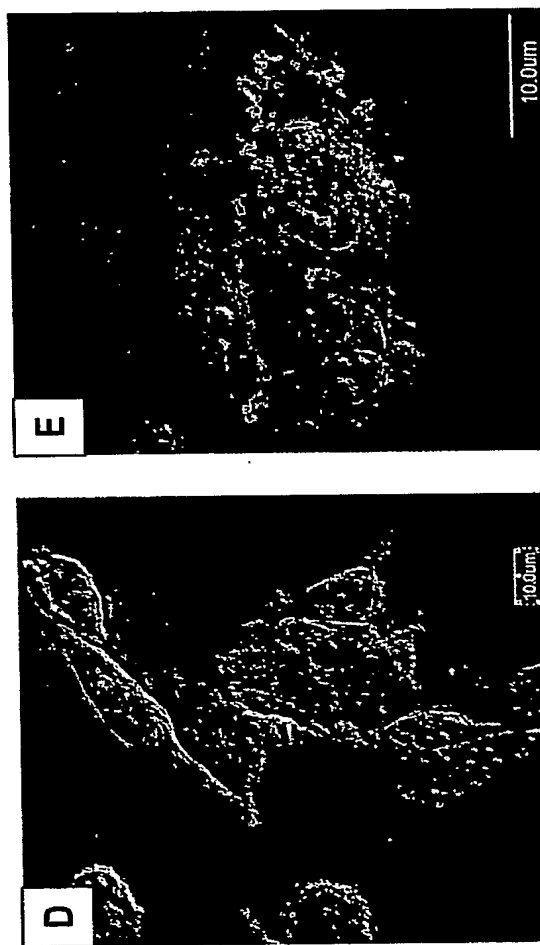
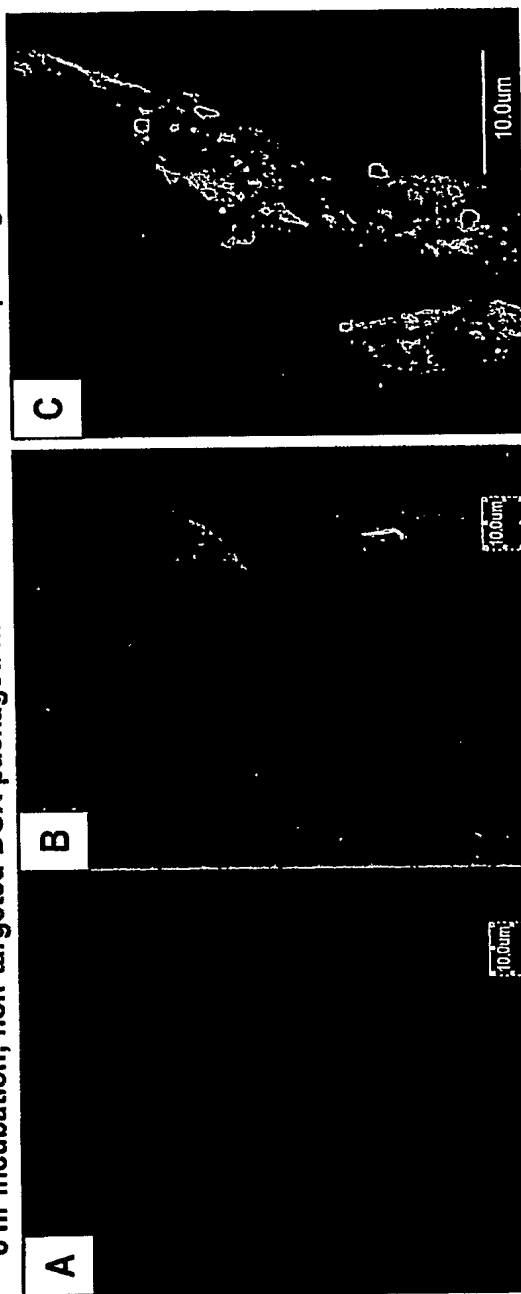
**Inventor(s): Himanshu Brahmabhatt et al.
DOCKET NO.: 060348-0106**

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Figure 3

8hr incubation; EGFR-targeted-DOX-
packaged minicells

8 hr incubation; non-targeted-DOX-packaged minicells.

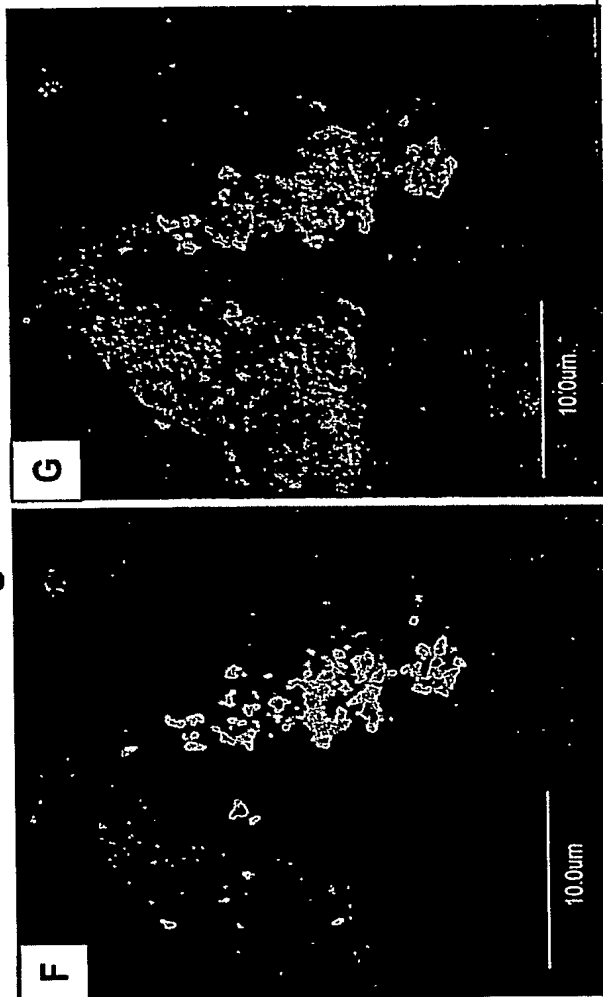


24hrs incubation; EGFR-targeted-
DOX-packaged minicells

24hrs incubation; non-targeted-
DOX-packaged minicells

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Figure 3



Same as (E). Minicells
revealed via DOX
autofluorescence (red)



24hr incubation; EGFR-
targeted-DOX-packaged
minicells. Minicells
revealed via Alexa Fluor
488-conjugated bispecific
antibody (green)

Merged image of (E) and (F).
Co-localisation of DOX-
packaged minicells and Alexa
Fluor 488-conjugated bispecific
antibody (green) revealed
(yellow). DOX released from
minicells seen in astrocytoma
cytoplasm (red)

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Title: METHODS FOR TARGETED DRUG
DELIVERY TO NON-PHAGOCYTIC
MAMMALIAN CELLS VIA BACTERIALLY
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Figure 4

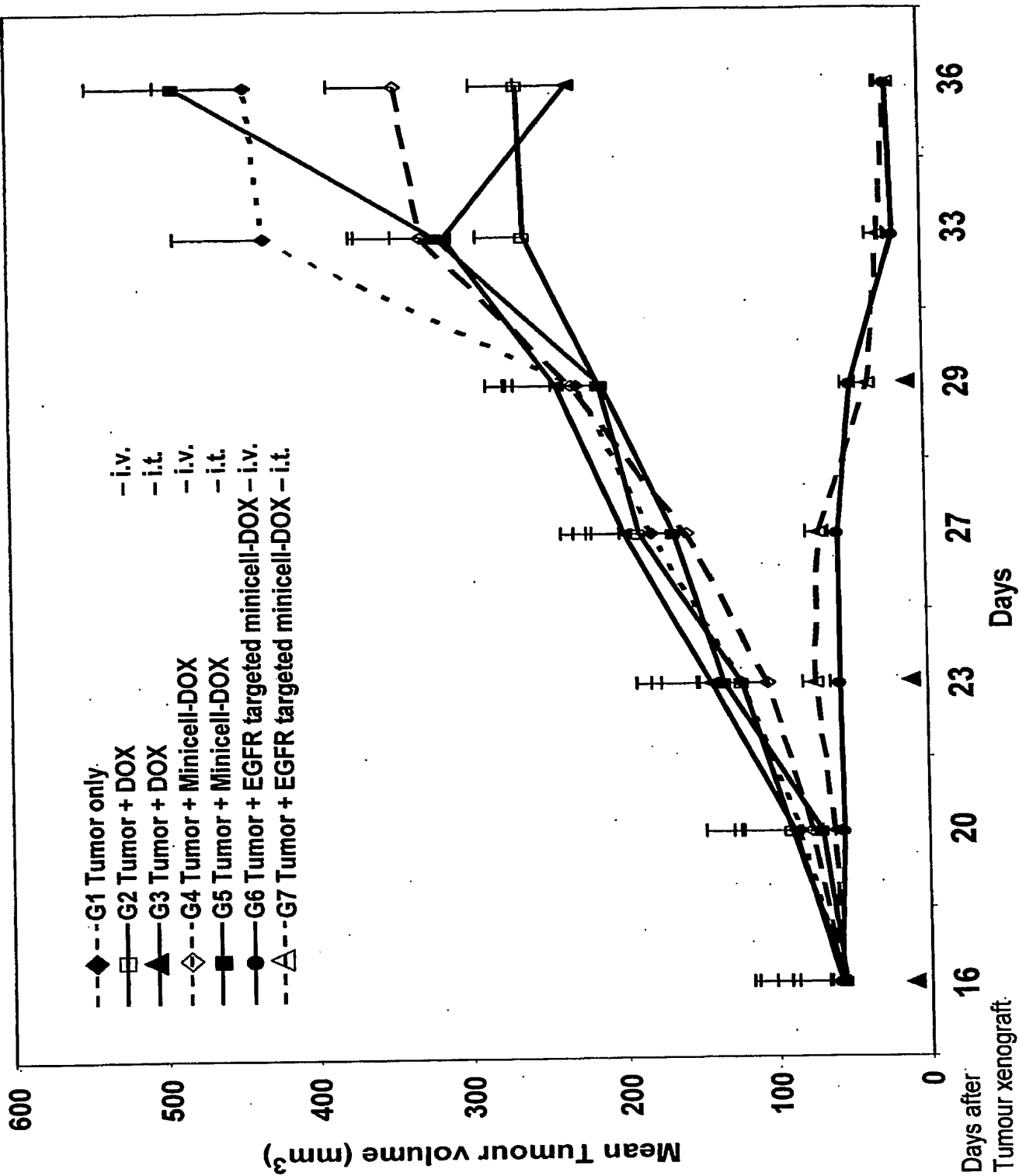
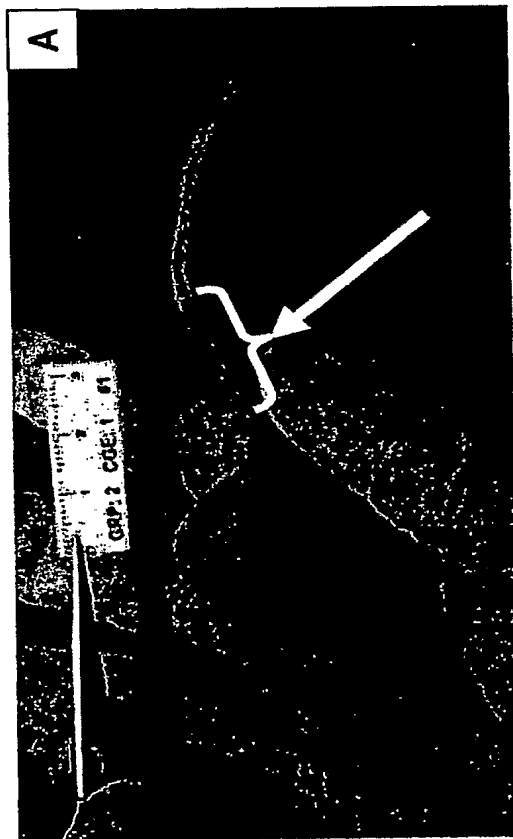


Figure 5



Mice showing severe tail skin reaction (shown by bracket and arrow) at the site of intravenous injection of free DOX. Groups 2 and 3 mice in example 4, Fig. 4.



Mice showing no tail skin reaction at the site of intravenous injection of DOX-packaged minicells. Groups 4 to 7 mice in example 4, Fig. 4.